

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

Signed at Washington, D. C., on 3 March 1973

Amended at Bonn, on 22 June 1979

The Contracting States,

Recognizing that wild fauna and flora in their many beautiful and varied forms are an irreplaceable part of the natural systems of the earth which must be protected for this and the generations to come;

Conscious of the ever-growing value of wild fauna and flora from aesthetic, scientific, cultural, recreational and economic points of view;

Recognizing that peoples and States are and should be the best protectors of their own wild fauna and flora;

Recognizing, in addition, that international co-operation is essential for the protection of certain species of wild fauna and flora against over-exploitation through international trade;

Convinced of the urgency of taking appropriate measures to this end;

Have agreed as follows:

Article I

DEFINITIONS

For the purpose of the present Convention, unless the context otherwise requires:

(a) »*Species*« means any species, subspecies, or geographically separate population thereof;

(b) »*Specimen*« means:

(i) any animal or plant, whether alive or dead;

(ii) in the case of an animal: for species included in Appendices I and II, any readily recognizable part or derivative thereof; and for species included in Appendix III, any readily recognizable part or derivative thereof specified in Appendix III in relation to the species; and

(iii) in the case of a plant: for species included in Appendix I, any readily recognizable part or derivative thereof; and for species included in Appendices II and III, any readily recognizable part or derivative thereof specified in Appendices II and III in relation to the species;

(c) »*Trade*« means export, re-export, import and introduction from the sea;

- (d) »*Re-export*« means export of any specimen that has previously been imported;
- e) »*Introduction from the sea*« means transportation into a State of specimens of any species which were taken in the marine environment not under the jurisdiction of any State;
- (f) »*Scientific Authority*« means a national scientific authority designated in accordance with Article IX;
- (g) »*Management Authority*« means a national management authority designated in accordance with Article IX;
- (h) »*Party*« means a State for which the present Convention has entered into force.

Article II

FUNDAMENTAL PRINCIPLES

1. Appendix I shall include all species threatened with extinction which are or may be affected by trade. Trade in specimens of these species must be subject to particularly strict regulation in order not to endanger further their survival and must only be authorized in exceptional circumstances.
2. Appendix II shall include:
 - (a) all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation in order to avoid utilization incompatible with their survival; and
 - b) other species which must be subject to regulation in order that trade in specimens of certain species referred to in sub-paragraph (a) of this paragraph may be brought under effective control.
3. Appendix III shall include all species which any Party identifies as being subject to regulation within its jurisdiction for the purpose of preventing or restricting exploitation, and as needing the co-operation of other Parties in the control of trade.
4. The Parties shall not allow trade in specimens of species included in Appendices I, II and III except in accordance with the provisions of the present Convention.

Article III

REGULATION OF TRADE IN SPECIMENS OF SPECIES INCLUDED IN APPENDIX I

1. All trade in specimens of species included in Appendix I shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix I shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

(a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;

b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora;

c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and

(d) a Management Authority of the State of export is satisfied that an import permit has been granted for the specimen.

3. The import of any specimen of a species included in Appendix I shall require the prior grant and presentation of an import permit and either an export permit or a re-export certificate. An import permit shall only be granted when the following conditions have been met:

(a) a Scientific Authority of the State of import has advised that the import will be for purposes which are not detrimental to the survival of the species involved;

b) a Scientific Authority of the State of import is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it: and

c) a Management Authority of the State of import is satisfied that the specimen is not to be used for primarily commercial purposes.

4. The re-export of any specimen of a species included in Appendix I shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

(a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention;

(b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and

(c) a Management Authority of the State of re-export is satisfied that an import permit has been granted for any living specimen.

5. The introduction from the sea of any specimen of a species included in Appendix I shall require the prior grant of a certificate from a Management Authority of the State

of introduction. A certificate shall only be granted when the following conditions have been met:

- (a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved;
- (b) a Management Authority of the State of introduction is satisfied that the proposed recipient of a living specimen is suitably equipped to house and care for it; and
- (c) a Management Authority of the State of introduction is satisfied that the specimen is not to be used for primarily commercial purposes.

Article IV

REGULATION OF TRADE IN SPECIMENS OF SPECIES INCLUDED IN APPENDIX II

1. All trade in specimens of species included in Appendix II shall be in accordance with the provisions of this Article.
2. The export of any specimen of a species included in Appendix II shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:
 - (a) a Scientific Authority of the State of export has advised that such export will not be detrimental to the survival of that species;
 - (b) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of the State for the protection of fauna and flora; and
 - (c) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.
3. A Scientific Authority in each Party shall monitor both the export permits granted by that State for specimens of species included in Appendix II and the actual exports of such specimens. Whenever a Scientific Authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystems in which it occurs and well above the level at which that species might become eligible for inclusion in Appendix I, the Scientific Authority shall advise the appropriate Management Authority of suitable measures to be taken to limit the grant of export permits, for specimens of that species.
4. The import of any specimen of a species included in Appendix II shall require the prior presentation of either an export permit or a re-export certificate.

5. The re-export of any specimen of a species included in Appendix II shall require the prior grant and presentation of a re-export certificate. A re-export certificate shall only be granted when the following conditions have been met:

(a) a Management Authority of the State of re-export is satisfied that the specimen was imported into that State in accordance with the provisions of the present Convention; and

(b) a Management Authority of the State of re-export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

6. The introduction from the sea of any specimen of a species included in Appendix II shall require the prior grant of a certificate from a Management Authority of the State of introduction. A certificate shall only be granted when the following conditions have been met:

(a) a Scientific Authority of the State of introduction advises that the introduction will not be detrimental to the survival of the species involved; and

(b) a Management Authority of the State of introduction is satisfied that any living specimen will be so handled as to minimize the risk of injury, damage to health or cruel treatment.

7. Certificates referred to in paragraph 6 of this Article may be granted on the advice of a Scientific Authority, in consultation with other national scientific authorities or, when appropriate, international scientific authorities, in respect of periods not exceeding one year for total numbers of specimens to be introduced in such periods.

Article V

REGULATION OF TRADE IN SPECIMENS OF SPECIES INCLUDED IN APPENDIX III

1. All trade in specimens of species included in Appendix III shall be in accordance with the provisions of this Article.

2. The export of any specimen of a species included in Appendix III from any State which has included that species in Appendix III shall require the prior grant and presentation of an export permit. An export permit shall only be granted when the following conditions have been met:

(a) a Management Authority of the State of export is satisfied that the specimen was not obtained in contravention of the laws of that State for the protection of fauna and flora; and

(b) a Management Authority of the State of export is satisfied that any living specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

3. The import of any specimen of a species included in Appendix III shall require, except in circumstances to which paragraph 4 of this Article applies, the prior presentation of a certificate of origin and, where the import is from a State which has included that species in Appendix III, an export permit.

4. In the case of re-export, a certificate granted by the Management Authority of the State of re-export that the specimen was processed in that State or is being re-exported shall be accepted by the State of import as evidence that the provisions of the present Convention have been complied with in respect of the specimen concerned.

Article VI

PERMITS AND CERTIFICATES

1. Permits and certificates granted under the provisions of Articles III, IV, and V shall be in accordance with the provisions of this Article.

2. An export permit shall contain the information specified in the model set forth in Appendix IV, and may only be used for export within a period of six months from the date on which it was granted.

3. Each permit or certificate shall contain the title of the present Convention, the name and any identifying stamp of the Management Authority granting it and a control number assigned by the Management Authority.

4. Any copies of a permit or certificate issued by a Management Authority shall be clearly marked as copies only and no such copy may be used in place of the original, except to the extent endorsed thereon.

5. A separate permit or certificate shall be required for each consignment of specimens.

6. A Management Authority of the State of import of any specimen shall cancel and retain the export permit or re-export certificate and any corresponding import permit presented in respect of the import of the specimen.

7. Where appropriate and feasible a Management Authority may affix a mark upon any specimen to assist in identifying the specimen. For these purposes »mark« means any indelible imprint, lead seal or other suitable means of identifying a specimen, designed in such a way as to render its imitation by unauthorized persons as difficult as possible.

Article VII

EXEMPTIONS AND OTHER SPECIAL PROVISIONS RELATING TO TRADE

1. The provisions of Articles III, IV and V shall not apply to the transit or transshipment of specimens through or in the territory of a Party while the specimens remain in Customs control.

2. Where a Management Authority of the State of export or re-export is satisfied that a specimen was acquired before the provisions of the present Convention applied to that specimen, the provisions of Articles III, IV and V shall not apply to that specimen where the Management Authority issues a certificate to the effect.

3. The provisions of Articles III, IV and V shall not apply to specimens that are personal or household effects. This exemption shall not apply where:

(a) in the case of specimens of a species included in Appendix I, they were acquired by the owner outside his State of usual residence, and are being imported into that State; or

(b) in the case of specimens of species included in Appendix II:

(i) they were acquired by the owner outside his State of usual residence and in a State where removal from the wild occurred;

(ii) they are being imported into the owner's State of usual residence; and

(iii) the State where removal from the wild occurred requires the prior grant of export permits before any export of such specimens;

unless a Management Authority is satisfied that the specimens were acquired before the provisions of the present Convention applied to such specimens.

4. Specimens of an animal species included in Appendix I bred in captivity for commercial purposes, or of a plant species included in Appendix I artificially propagated for commercial purposes, shall be deemed to be specimens of species included in Appendix II.

5. Where a Management Authority of the State of export is satisfied that any specimen of an animal species was bred in captivity or any specimen of a plant species was artificially propagated, or is a part of such an animal or plant or was derived therefrom, a certificate by that Management Authority to that effect shall be accepted in lieu of any of the permits or certificates required under the provisions of Article III, IV or V.

6. The provisions of Articles III, IV and V shall not apply to the non-commercial loan, donation or exchange between scientists or scientific institutions registered by a Management Authority of their State, of herbarium specimens, other preserved, dried or embedded museum specimens, and live plant material which carry a label issued or approved by a Management Authority.

7. A Management Authority of any State may waive the requirements of Articles III, IV and V and allow the movement without permits or certificates of specimens which form part of a travelling zoo, circus, menagerie, plant exhibition or other travelling exhibition provided that:

(a) the exporter or importer registers full details of such specimens with that Management Authority;

(b) the specimens are in either of the categories specified in paragraph 2 or 5 of this Article; and

(c) the Management Authority is satisfied that any living specimen will be so transported and cared for as to minimize the risk of injury, damage to health or cruel treatment.

Article VIII

MEASURES TO BE TAKEN BY THE PARTIES

1. The Parties shall take appropriate measures to enforce the provisions of the present Convention and to prohibit trade in specimens in violation thereof. These shall include measures:

(a) to penalize trade in, or possession of, such specimens, or both; and

(b) to provide for confiscation or return to the State of export of such specimens.

2. In addition to the measures taken under paragraph 1 of this Article, a Party may, when it deems it necessary, provide for method of intenal reimbursement for expenses incurred as a result of the confiscation of a specimen traded in violation of measures taken in the application of the provisions of the present Convention.

3. As far as possible, the Parties shall ensure that specimens shall pass through any formalities required for trade with a minimum of delay. To facilitate such passage, a Party may designate ports of exit and ports of entry at which specimens must be presented for clearance. The Parties shall ensure further that all living specimens, during any period of transit, holding or shipment, are properly cared for so as to minimize the risk of injury, damage to health or cruel treatment.

4. Where a living specimen is confiscated as a result of measures referred to in paragraph 1 of this Article:

(a) the specimen shall be entrusted to a Management Authority of the State of confiscation;

(b) the Management Authority shall, after consultation with the State of export, return the specimen to that State at the expense of that State, or to a rescue centre or such other place as the Management Authority deems appropriate and consistent with the purposes of the present Convention; and

(c) the Management Authority may obtain the advice of a Scientific Authority, or may, whenever it considers it desirable, consult the Secretariat in order to facilitate the decision under sub-paragraph (b) of this paragraph, including the choice of a rescue centre or other place.

5. A rescue centre as referred to in paragraph 4 of this Article means an institution designated by a Management Authority to look after the welfare of living specimens, particularly those that have been confiscated.

6. Each Party shall maintain records of trade in specimens of species included in Appendices I, II and III which shall cover:

(a) the names and addresses of exporters and importers; and

(b) the number and type of permits and certificates granted; the States with which such trade occurred; the numbers or quantities and types of specimens, names of species as included in Appendices I, II and III and, where applicable, the size and sex of the specimens in question.

7. Each Party shall prepare periodic reports on its implementation of the present Convention and shall transmit to the Secretariat:

(a) an annual report containing a summary of the information specified in subparagraph (b) of paragraph 6 of this Article; and

(b) a biennial report on legislative, regulatory and administrative measures taken to enforce the provisions of the present Convention.

8. The information referred to in paragraph 7 of this Article shall be available to the public where this is not inconsistent with the law of the Party concerned.

Article IX

MANAGEMENT AND SCIENTIFIC AUTHORITIES

1. Each Party shall designate for the purposes of the present Convention:

(a) one or more Management Authorities competent to grant permits or certificates on behalf of that Party; and

(b) one or more Scientific Authorities.

2. A State depositing an instrument or ratification, acceptance, approval or accession shall at that time inform the Depositary Government of the name and address of the Management Authority authorized to communicate with other Parties and with the Secretariat.

3. Any changes in the designations or authorizations under the provisions of this Article shall be communicated by the Party concerned to the Secretariat for transmission to all other Parties.

4. Any Management Authority referred to in paragraph 2 of this Article shall, if so requested by the Secretariat or the Management Authority of another Party, communicate to it impression of stamps, seals or other devices used to authenticate permits or certificates.

Article X

TRADE WITH STATES NOT PARTY TO THE CONVENTION

Where export or re-export is to, or import is from, a State not a Party to the present Convention, comparable documentation issued by the competent authorities in that State which substantially conforms with the requirements of the present Convention for permits and certificates may be accepted in lieu thereof by any Party.

Article XI

CONFERENCE OF THE PARTIES

1. The Secretariat shall call a meeting of the Conference of the Parties not later than two years after the entry into force of the present Convention.
2. Thereafter the Secretariat shall convene regular meetings at least once every two years, unless the Conference decides otherwise, and extraordinary meetings at any time on the written request of a least one-third of the Parties.
3. At meetings, whether regular or extraordinary, the Parties shall review the implementation of the present Convention and may:
 - (a) make such provision as may be necessary to enable the Secretariat to carry out its duties, and adopt financial provisions;
 - (b) consider and adopt amendments to Appendices I and II in accordance with Article XV;
 - (c) review the progress made to wards the restoration and conervation of the species included in Appendices I, II and III;
 - (d) receive and consider any reports presented by the Secretariat or by any Party; and
 - (e) where appropriate, make recommendations for improving the effectiveness of the present Convention.
4. At each regular meeting, the Parties may determine the time and venue of the next regular meeting to be held in accordance with the provisions of paragraph 2 of this Article.
5. At any meeting, the Parties may determine and adopt rules of procedure for the meeting.
6. The United Nations, its Specialized Agencies and the International Atomic Energy Agency, as well as any State not a Party to the present Convention, may be represented at meetings of the Conference by observers, who shall have the right to participate but not to vote.
7. Any body or agency technically qualified in protection, conservation or management of wild fauna and flora, in the following categories, which has informed

the Secretariat of its desire to be represented at meetings of the Conference by observers, shall be admitted unless at least one-third of the Parties present object:

- (a) international agencies or bodies, either governmental or non-governmental, and national governmental agencies and bodies; and
- (b) national non-governmental agencies or bodies which have been approved for this purpose by the State in which they are located.

Once admitted, these observers shall have the right to participate but not to vote.

Article XII

THE SECRETARIAT

1. Upon entry into force of the present Convention, a Secretariat shall be provided by the Executive Director of the United Nations Environment Programme. To the extent and in the manner he considers appropriate, he may be assisted by suitable inter-governmental or non-governmental international or national agencies and bodies technically qualified in protection, conservation and management of wild fauna and flora.

2. The functions of the Secretariat shall be:

- (a) to arrange for and service meetings of the Parties;
- (b) to perform the functions entrusted to it under the provisions of Articles XV and XVI of the present Convention;
- (c) to undertake scientific and technical studies in accordance with programmes authorized by the Conference of the Parties as will contribute to the implementation of the present Convention, including studies concerning standards for appropriate preparation and shipment of living specimens and the means of identifying specimens;
- (d) to study the reports of Parties and to request from Parties such further information with respect thereto as it deems necessary to ensure implementation of the present Convention;
- (e) to invite the attention of the Parties to any matter pertaining to the aims of the present Convention;
- (f) to publish periodically and distribute to the Parties current editions of Appendices I, II and III together with any Information which will facilitate Identification of specimens of species included in those Appendices;
- (g) to prepare annual reports to the Parties on its work and on the implementation of the present Convention and such other reports as meetings of the Parties may request;

(h) to make recommendations for the implementation of the aims and provisions of the present Convention, including the exchange of information of a scientific or technical nature;

(i) to perform any other function as may be entrusted to it by the Parties.

Article XIII

INTERNATIONAL MEASURES

1. When the Secretariat in the light of information received is satisfied that any species included in Appendix I or II is being affected adversely by trade in specimens of that species or that the provisions of the present Convention are not being effectively implemented, it shall communicate such information to the authorized Management Authority of the Party or Parties concerned.

2. When any Party receives a communication as indicated in paragraph 1 of this Article, it shall, as soon as possible, inform the Secretariat of any relevant facts insofar as its laws permit and, where appropriate, propose remedial action. Where the Party considers that an inquiry is desirable, such inquiry may be carried out by one or more persons expressly authorized by the Party.

3. The information provided by the Party or resulting from any inquiry as specified in paragraph 2 of this Article shall be reviewed by the next Conference of the Parties which may make whatever recommendations it deems appropriate.

Article XIV

EFFECT ON DOMESTIC LEGISLATION AND INTERNATIONAL CONVENTIONS

1. The provisions of the present Convention shall in no way affect the right of Parties to adopt:

(a) stricter domestic measures regarding the conditions for trade, taking, possession or transport specimens of species included in Appendices I, II and III, or the complete prohibition thereof or

(b) domestic measures restricting or prohibiting trade, taking, possession or transport of species not included in Appendix I, II or III.

2. The provisions of the present Convention shall in no way affect the provisions of any domestic measures or the obligations of Parties deriving from any treaty, convention, or international agreement relating to other aspects of trade, taking, possession or transport of specimens which is in force or subsequently may enter into force for any Party including any measure pertaining to the Customs, public health, veterinary or plant quarantine fields.

3. The provisions of the present Convention shall in no way affect the provisions of, or the obligations deriving from, any treaty, convention or international agreement

concluded or which may be concluded between States creating a union or regional trade agreement establishing or maintaining a common external Customs control and removing Customs control between the parties thereto insofar as they relate to trade among the States members of that union or agreement.

4. A State party to the present Convention, which is also a party to any other treaty, convention or international agreement which is in force at the time of the coming into force of the present Convention and under the provisions of which protection is afforded to marine species included in Appendix II, shall be relieved of the obligations imposed on it under the provisions of the present Convention with respect to trade in specimens of species included in Appendix II that are taken by ships registered in that State and in accordance with the provisions of such other treaty, convention or international agreement.

5. Notwithstanding the provisions of Articles III, IV and V, any export of a specimen taken in accordance with paragraph 4 of this Article shall only require a certificate from a Management Authority of the State of Introduction to the effect that the specimen was taken in accordance with the provisions of the other treaty, convention or international agreement in question.

6. Nothing in the present Convention shall prejudice the codification and development of the law of the sea by the United Nations Conference on the Law of the Sea convened pursuant to Resolution 2750 C (XXV) or the General Assembly of the United Nations not the present or future claims and legal views of any State concerning the law of the sea and the nature and extent of coastal and flag State jurisdiction.

Article XV

AMENDMENTS TO APPENDICES I AND II

1. The following provisions shall apply in relation to amendments to Appendices I and II at meetings of the Conference of the Parties:

(a) Any Party may propose an amendment to Appendix I or II for consideration at the next meeting. The text of the proposed amendment shall be communicated to the Secretariat at least 150 days before the meeting. The Secretariat shall consult the other Parties and interested bodies on the amendment in accordance with the provisions of sub-paragraphs (b) and (c) of paragraph 2 of this Article and shall communicate the response to all Parties not later than 30 days before the meeting.

(b) Amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes »Parties present and voting« means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

(c) Amendments adopted at a meeting shall enter into force 90 days after that meeting for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

2. The following provisions shall apply in relation to amendments to Appendices I and II between meetings of the Conference of the Parties:

- (a) Any Party may propose an amendment to Appendix I or II for consideration between meetings by the postal procedures set forth in this paragraph.
- (b) For marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties. It shall also consult inter-governmental bodies having a function in relation to those species especially with a view to obtaining scientific data these bodies may be able to provide and to ensuring co-ordination with any conservation measures enforced by such bodies. The Secretariat shall communicate the views expressed and data provided by these bodies and its own findings and recommendations to the Parties as soon as possible.
- (c) For species other than marine species, the Secretariat shall, upon receiving the text of the proposed amendment, immediately communicate it to the Parties, and, as soon as possible thereafter, its own recommendations.
- (d) Any Party may, within 60 days of the date on which the Secretariat communicated its recommendations to the Parties under sub-paragraph (b) or (c) of this paragraph, transmit to the Secretariat any comments on the proposed amendment together with any relevant scientific data and information.
- (e) The Secretariat shall communicate the replies received together with its own recommendations to the Parties as soon as possible.
- (f) If no objection to the proposed amendment is received by the Secretariat within 30 days of the date the replies and recommendations were communicated under the provisions of sub-paragraph (e) of this paragraph, the amendment shall enter into force 90 days later for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.
- (g) If an objection by any Party is received by the Secretariat, the proposed amendment shall be submitted to a postal vote in accordance with the provisions of sub-paragraphs (h), (i) and (j) of this paragraph.
- (h) The Secretariat shall notify the Parties that notification of objection has been received.
- (i) Unless the Secretariat receives the votes for, against or in abstention from at least one-half of the Parties within 60 days of the date of notification under sub-paragraph (h) of this paragraph, the proposed amendment shall be referred to the next meeting of the Conference for further consideration.
- (j) Provided that votes are received from one-half of the Parties, the amendment shall be adopted by a two-thirds majority of Parties casting an affirmative or negative vote.
- (k) The Secretariat shall notify all Parties of the result of the vote.

(l) If the proposed amendment is adopted it shall enter into force 90 days after the date of the notification by the Secretariat of its acceptance for all Parties except those which make a reservation in accordance with paragraph 3 of this Article.

3. During the period of 90 days provided for by sub-paragraph (c) of paragraph 1 or sub-paragraph (I) or paragraph 2 of this Article any Party may be notification in writing to the Depositary Government make a reservation with respect to the amendment. Until such reservation is withdrawn the Party shall be treated as a State not a Party to the present Convention with respect to trade in the species concerned.

Article XVI

APPENDIX III AND AMENDMENTS THERETO

1. Any Party may at any time submit to the Secretariat a list of species which it identifies as being subject to regulation within its jurisdiction for the purpose mentioned in paragraph 3 of Article II. Appendix III shall include the names of the Parties submitting the species for inclusion therein, the scientific names of the species so submitted, and any parts or derivatives of the animals or plants concerned that are specified in relation to the species for the purposes of sub-paragraph (b) of Article I.

2. Each list submitted under the provisions of paragraph 1 of this Article shall be communicated to the Parties by the Secretariat as soon as possible after receiving it. The list shall take effect as part of Appendix III 90 days after the date of such communication. At any time after the communication of such list, any Party may by notification in writing to the Depositary Government enter a reservation with respect to any species or any parts or derivatives, and until such reservation is withdrawn, the State shall be treated as a State not a Party to the present Convention with respect to trade in the species or part or derivative concerned.

3. A Party which has submitted a species for inclusion in Appendix III may withdraw it at any time by notification to the Secretariat which shall communicate the withdrawal to all Parties. The withdrawal shall take effect 30 days after the date of such communication.

4. Any Party submitting a list under the provisions of paragraph 1 of this Article shall submit to the Secretariat a copy of all domestic laws and regulations applicable to the protection of such species, together with any interpretations which the Party may deem appropriate or the Secretariat may request. The Party shall, for as long as the species in question is included in Appendix III, submit any amendments of such laws and regulations or any interpretations as they are adopted.

Article XVII

AMENDMENT OF THE CONVENTION

1. An extraordinary meeting of the Conference of the Parties shall be convened by the Secretariat on the written request of at least one-third of the Parties to consider and adopt amendments to the present Convention. Such amendments shall be adopted by a two-thirds majority of Parties present and voting. For these purposes »Parties present

and voting» means Parties present and casting an affirmative or negative vote. Parties abstaining from voting shall not be counted among the two-thirds required for adopting an amendment.

2. The text of any proposed amendment shall be communicated by the Secretariat to all Parties at least 90 days before the meeting.

3. An amendment shall enter into force for the Parties which have accepted it 60 days after two-thirds of the Parties have deposited an instrument of acceptance of the amendment with the Depositary Government. Thereafter, the amendment shall enter into force for any other Party 60 days after that Party deposits its instrument of acceptance of the amendment.

Article XVIII

RESOLUTION OF DISPUTES

1. Any dispute which may arise between two or more Parties with respect to the interpretation or application of the provisions of the present Convention shall be subject to negotiation between the Parties involved in the dispute.

2. If the dispute can not be resolved in accordance with paragraph 1 of this Article, the Parties may, by mutual consent, submit the dispute to arbitration, in particular that of the Permanent Court of Arbitration at The Hague, and the Parties submitting the dispute shall be bound by the arbitral decision.

Article XIX

SIGNATURE

The present Convention shall be open for signature at Washington until 30th April 1973 and thereafter at Berne until 31st December 1974.

Article XX

RATIFICATION, ACCEPTANCE, APPROVAL

The present Convention shall be subject to ratification, acceptance or approval. Instruments of ratification, acceptance or approval shall be deposited with the Government of the Swiss Confederation which shall be the Depositary Government.

Article XXI

ACCESSION

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

Article XXII

ENTRY INTO FORCE

1. The present Convention shall enter into force 90 days after the date of deposit of the tenth instrument of ratification, acceptance, approval or accession, with the Depositary Government.
2. For each State which ratifies, accepts or approves the present Convention or accedes thereto after the deposit of the tenth instrument of ratification, acceptance, approval or accession, the present Convention shall enter into force 90 days after the deposit by such State of its instrument of ratification, acceptance, approval or accession.

Article XXIII

RESERVATIONS

1. The provisions of the present Convention shall not be subject to general reservations. Specific reservations may be entered in accordance with the provisions of this Article and Articles XV and XVI.
2. Any State may, on depositing its instrument of ratification, acceptance, approval or accession, enter a specific reservation with regard to:
 - (a) any species included in Appendix I, II or III; or
 - (b) any parts or derivatives specified in relation to a species included in Appendix III.
3. Until a Party withdraws its reservation entered under the provisions of this Article, it shall be treated as a State not a Party to the present Convention with respect to trade in the particular species or parts or derivatives specified in such reservation.

Article XXIV

DENUNCIATION

Any Party may denounce the present Convention by written notification to the Depositary Government at any time. The denunciation shall take effect twelve months after the Depositary Government has received the notification.

Article XXV

DEPOSITARY

1. The original of the present Convention, in the Chinese, English, French, Russian and Spanish languages, each version being equally authentic, shall be deposited with the Depositary Government, which shall transmit certified copies thereof to all States that have signed it or deposited instruments of accession to it.
2. The Depositary Government shall inform all signatory and acceding States and the Secretariat of signatures, deposit of instruments of ratification, acceptance, approval

or accession, entry into force of the present Convention, amendments thereto, entry and withdrawal of reservations and notifications of denunciation.

3. As soon as the present Convention enters into force, a certified copy thereof shall be transmitted by the Depositary Government to the Secretariat of the United Nations for registration and publication in accordance with Article 102 of the Charter of the United Nations.

IN WITNESS WHERE OF the undersigned Plenipotentiaries, being duly authorized to that effect, have signed the present Convention.

DONE at Washington this third day of March, One Thousand Nine Hundred and Seventy-three.

ARTICLE XXI OF THE CONVENTION
as amended in Gaborone, Botswana, 1983

Article XXI

ACCESSION

The present Convention shall be open indefinitely for accession. Instruments of accession shall be deposited with the Depositary Government.

1. This Convention shall be open indefinitely for accession by regional economic integration organisations constituted by sovereign States which have competence in respect of the negotiation, conclusion and implementation of international agreements in matters transferred to them by their Member States and covered by this Convention.

2. In their instruments of accession, such organisations shall declare the extent of their competence with respect to the matters governed by the Convention, these organisations shall also inform the Depositary of any substantial modification in the extent of their competence. Notifications by regional economic integration organisations concerning their competence with respect to matters governed by this Convention and modifications thereto shall be distributed to the Parties by the Depositary.

3. In matters with their competence, such regional economic integration organisations shall exercise the rights and fulfil the obligations which this Convention attributes to their Member States, which are Parties to the Convention. In such cases the Member States of the organisations shall not be entitled to exercise such rights individually.

4. In the fields of their competence, regional economic integration organisations shall exercise their right to vote with a number of votes equal to the number of their Member States which are Parties to the Convention. Such organisations shall not exercise their right to vote if their Member States exercise theirs, and vice versa.

5. Any reference to »Party« in the sense used in Article 1 (h) of this Convention, to »State«/»States« or to »State Party«/»States Parties« to the Conventions shall be

construed as including a reference to any regional economic integration organisation having competence in respect of the negotiation, conclusion and application of international agreements in matters covered by this Convention.

APPENDICES I AND II

as adopted by the Conference of the Parties,
valid from 18 September 1997
(reprinted with corrections, 30 June 1998)

INTERPRETATION

1. Species included in these appendices are referred to:
 - a) by the name of the species; or
 - b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation »spp.« is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. The following abbreviations are used for plant taxa below the level of species:
 - a) »spp.« is used to denote subspecies;
 - b) »var(s)« is used to denote variety (varieties); and
 - c) »fa.« is used to denote *forma*.
5. The abbreviation »p. e.« is used to denote species that are possibly extinct.
6. An asterisk (*) placed against the name of a species or higher taxon indicates that one or more geographically separate populations, subspecies or species of that species or taxon are included in Appendix I and are excluded from Appendix II.
7. Two asterisks (**) placed against the name of a species or higher taxon indicate that one or more geographically separate populations, subspecies or species of that species or taxon are included in Appendix II and are excluded from Appendix I.
8. The symbol (-) followed by a number placed against the name of a species or higher taxon denotes that designated geographically separate populations, species, groups of species or families of that species or taxon are excluded from the appendix concerned, as follows:

-101 Population of West Greenland

-102 Populations of Bhutan, India, Nepal and Pakistan

- 103 Populations of Botswana, Namibia and Zimbabwe
 - 104 Population of Australia
 - 105 Populations of *Pecari tajacu* of Mexico and the United States of America
 - 106 - **Argentina:** the population of the Province of Jujuy and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan
 - **Bolivia:** the populations of the Conservation Units of Mauri-Desaguadero, Ulla Ulla and Lipez-Chichas, with a zero annual export quota
 - **Chile:** part of the population of Parinacota Province, 1a. Region of Tarapacá
 - **Peru:** the whole population
 - 107 Populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan
 - 108 Cathartidae
 - 109 *Melopsittacus undulatus*, *Nymphicus hollandicus* and *Psittacula krameri*
 - 110 Population of Argentina
 - 111 Population of Ecuador, subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group
 - 112 Populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, South Africa, Uganda, the United Republic of Tanzania, Zambia and Zimbabwe
- Apart from ranched specimens, the United Republic of Tanzania will authorize the export of no more than 1100 wild specimens (including 100 hunting trophies) in 1998, 1999 and 2000
- 113 Populations of Australia, Indonesia and Papua New Guinea
 - 114 Population of Chile
 - 115 All species that are not succulent
 - 116 *Aloe vera*; also referenced as *Aloe barbadensis*.

9. The symbol (+) followed by a number placed against the name of a species, subspecies or higher taxon denotes that only designated geographically separate populations of that species, subspecies or taxon are included in the appendix concerned, as follows:

- +201 Populations of Bhutan, India, Nepal and Pakistan

- +202 Populations of Bhutan, China, Mexico and Mongolia
- +203 Populations of Cameroon and Nigeria
- +204 Population of Asia
- +205 Populations of Central and North America
- +206 Populations of Bangladesh, India and Thailand
- +207 Population of India
- +208 Populations of Botswana, Namibia and Zimbabwe
- +209 Populations of Australia
- +210 Populations of South Africa
- +211 - **Argentina:** the population of the Province of Jujuy and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan
- **Bolivia:** the populations of the Conservation Units of Mauri-Desaguadero, Ulla Ulla and Lipez-Chichas, with a zero annual export quota
- **Chile:** part of the population of Parinacota Province, 1a. Region of Tarapacá
- **Peru:** the whole population
- +212 Populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan
- +213 Population of Mexico
- +214 Populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan
- +215 Population of Seychelles
- +216 Population of Europe, except the area which formerly constituted the Union of Soviet Socialist Republics
- +217 Population of Chile.

10. The symbol (=) followed by a number placed against the name of a species, subspecies or higher taxon denotes that the name of that species, subspecies or taxon shall be interpreted as follows:

=301 Also referenced as *Phalanger maculatus*

=302 Includes family Tupaiidae

- =303 Formerly included in family Lemuridae
- =304 Formerly included as subspecies of *Callithrix jacchus*
- =305 Includes generic synonym *Leontideus*
- =306 Formerly included in species *Saguinus oedipus*
- =307 Formerly included in *Alouatta palliata*
- =308 Formerly included as *Alouatta palliata (villosa)*
- =309 Includes synonym *Cercopithecus roloway*
- =310 Formerly included in genus *Papio*
- =311 Includes generic synonym *Simias*
- =312 Includes synonym *Colobus badius kirkii*
- =313 Includes synonym *Colobus badius rufomitraturs*
- =314 Includes generic synonym *Rhinopithecus*
- =315 Also referenced as *Presbytis entellus*
- =316 Also referenced as *Presbytis geei* and *Semnopithecus geei*
- =317 Also referenced as *Presbytis pileata* and *Semnopithecus pileatus*
- =318 Includes synonym *Bradypus boliviensis* and *Bradypus griseus*
- =319 Includes synonym *Priodontes giganteus*
- =320 Includes synonym *Physeter macrocephalus*
- =321 Includes synonym *Eschrichtius glaucus*
- =322 Formerly included in genus *Balaena*
- =323 Formerly included in genus *Dusicyon*
- =324 Includes synonym *Dusicyon fulvipes*
- =325 Includes generic synonym *Fennecus*
- =326 Also referenced as *Selenarctos thibetanus*
- =327 Also referenced as *Aonyx microdon* or *Paraonyx microdon*

- =328 Formerly included in genus *Lutra*
- =329 Formerly included in genus *Lutra*; includes synonyms *Lutra annectens*, *Lutra enudris*, *Lutra incarum* and *Lutra platensis*
- =330 Includes synonym *Eupleres major*
- =331 Also referenced as *Hyaena brunnea*
- =332 Also referenced as *Felis caracal* and *Lynx caracal*
- =333 Formerly included in genus *Felis*
- =334 Also referenced as *Felis pardina* or *Felis lynx pardina*
- =335 Formerly included in genus *Panthera*
- =336 Also referenced as *Equus asinus*
- =337 Formerly included in species *Equus hemionus*
- =338 Also referenced as *Equus caballus przewalskii*
- =339 Also referenced as *Choeropsis liberiensis*
- =340 Also referenced as *Cervus porcinus calamianensis*
- =341 Also referenced as *Cervus porcinus kuhlii*
- =342 Also referenced as *Cervus porcinus annamiticus*
- =343 Also referenced as *Cervus dama mesopotamicus*
- =344 Includes synonym *Bos frontalis*
- =345 Includes synonym *Bos grunniens*
- =346 Includes generic synonym *Novibos*
- =347 Includes generic synonym *Anoa*
- =348 Also referenced as *Damaliscus dorcas dorcas* or *Damaliscus pygargus dorcas*
- =349 Formerly included in species *Naemorhedus goral*
- =350 Also referenced as *Capricornis sumatraensis*
- =351 Includes synonym *Oryx tao*
- =352 Includes synonym *Ovis aries ophion*

=353 Formerly included as *Ovis vignei* (see also Decisions of the Conference of the Parties directed to the Parties regarding the inclusion of *Ovis vignei vignai* in Appendix I)

=354 Also referenced as *Rupicapra rupicapra ornata*

=355 Also referenced as *Pterocnemia pennata*

=356 Also referenced as *Sula abbotti*

=357 Also referenced as *Ciconia ciconia boyciana*

=358 Includes synonyms *Anas chlorotis* and *Anas nesiotis*

=359 Also referenced as *Anas platyrhynchos laysanensis*

=360 Probably a hybrid between *Anas platyrhynchos* and *Anas superciliosa*

=361 Also referenced as *Aquila heliaca adalberti*

=362 Also referenced as *Chondrohierax wilsonii*

=363 Also referenced as *Falco peregrinus babylonicus* and *Falco peregrinus pelegrinoides*

=364 Also referenced as *Crax mitu mitu*

=365a Formerly included in genus *Aburria*

=365b Formerly included in genus *Aburria*; also referenced as *Pipile pipile pipile*

=366 Formerly included in species *Crossoptilon crossoptilon*

=367 Formerly included in species *Polyplectron malacense*

=368 Includes synonym *Rheinardia nigrescens*

=369 Also referenced as *Tricholimnas sylvestris*

=370 Also referenced as *Choriotis nigriceps*

=371 Also referenced as *Houbaropsis bengalensis*

=372 Also referenced as *Amazona dufresniana rhodocorytha*

=373 Often traded under the incorrect designation *Ara caninde*

=374 Also referenced as *Cyanoramphus novaezelandiae cookii*

=375 Also referenced as *Opopsitta diopthalma coxeni*

- =376 Also referenced as *Pezoporus occidentalis*
- =377 Formerly included in species *Psephotus chrysopterygius*
- =378 Also referenced as *Psittacula krameri echo*
- =379 Formerly included in genus *Gallirex*; also referenced as *Tauraco porphyreolophus*
- =380 Also referenced as *Otus gurneyi*
- =381 Also referenced as *Ninox novaeseelandiae royana*
- =382 Formerly included in genus *Glaucis*
- =383 Includes generic synonym *Ptilolaemus*
- =384 Formerly included in genus *Rhinoplax*
- =385 Also referenced ad *Pitta brachyura nympha*
- =386 Also referenced as *Muscicapa ruecki* or *Niltava ruecki*
- =387 Also referenced as *Dasyornis brachypterus longirostris*
- =388 Also referenced as *Meliphaga cassidix*
- =389 Includes generic synonym *Xanthopsar*
- =390 Formerly included in genus *Spinus*
- =391 Also referenced in genus *Damonia*
- =392 Formerly included as *Kachuga tecta tecta*
- =393 Includes generic synonyms *Nicoria* and *Geoemyda* (part)
- =394 Also referenced as *Geochelone elephantopus*; also referenced in genus *Testudo*
- =395 Also referenced in renus *Testudo*
- =396 Also referenced in genus *Aspideretes*
- =397 Formerly included in *Podocnemis* spp.
- =398 Includes Alligatoridae, Crocodylidae and Gavialidae
- =399 Also referenced as *Crocodylus mindorensis*
- =400 Also referenced in genus *Nactus*

- =401 Includes generic synonym *Rhoptropella*
- =402 Formerly included in *Chamaeleo* spp.
- =403 Includes generic synonyms *Calumma* and *Furcifer*
- =404 Includes families Bolyeriidae and Tropicophiidae as subfamilies
- =405 Also referenced as *Constrictor constrictor occidentalis*
- =406 Includes synonym *Python molurus pimbura*
- =407 Includes synonym *Sanzinia manditra*
- =408 Includes synonym *Pseudoboa cloelia*
- =409 Also referenced as *Hydrodynastes gigas*
- =410 Includes synonyms *Naja atra*, *Naja kaouthia*, *Naja oxiana*, *Naja philippinensis*, *Naja samarensis*, *Naja sputatrix* and *Naja sumatrana*
- =411 Includes generic synonym *Megalobatrachus*
- =412 Formerly included in *Nectuphrynoides* spp.
- =413 Formerly included in *Dendrobates* spp.
- =414 Also referenced in genus *Rana*
- =415 *Sensu* DžAbrera
- =416 Includes synonyms *Pandinus africanus* and *Heterometrus roeseli*
- =417 Includes *Aphonopeima albiceps*, *Aphonopelma pallidum* and *Brachypelmides klaasi*
- =418 Also referenced as *Conchodromus dromas*
- =419 Also referenced in genera *Dysnomia* and *Plagiola*
- =420 Includes generic synonym *Proptera*
- =421 Also referenced in genus *Carunculina*
- =422 Also referenced as *Megalonaias nickliniana*
- =423 Also referenced as *Cyrtonaias tampicoensis tecomatensis* and *Lampsilis tampicoensis tecomatensis*
- =424 Includes generic synonym *Micromya*

- =425 Includes generic synonym *Papuina*
- =426 Includes only the family Helioporidae with one species *Heliopora coerulea*
- =427 Also referenced as *Podophyllum emodi* and *Sinopodophyllum hexandrum*
- =428 Includes generic synonyms *Neogomesia* and *Roseocactus*
- =429 Also referenced in genus *Echinocactus*
- =430 Also referenced in genus *Mammillaria*; includes synonym *Coryphantha densispina*
- =431 Also referenced as *Lobeira macdougallii* and *Nopalxochia macdougallii*
- =432 Also referenced as *Echinocereus lindsayi*
- =433 Also referenced in genera *Cereus* and *Wilcoxia*
- =434 Also referenced in genus *Coryphantha*; includes synonym *Escobaria nellieae*
- =435 Also referenced in genus *Coryphantha*; includes *Escobaria leei* as a subspecies
- =436 Includes synonym *Solisia pectinata*
- =437 Also referenced as *Backebergia militaris*, *Cephalocereus militaris* and *Mitrocereus militaris*; includes synonym *Pachycereus chrysomallus*
- =438 Includes *Pediocactus bradyi* ssp. *despainii* and *Pediocactus bradyi* ssp. *winkleri* and synonyms *Pediocactus despainii* and *Pediocactus winkleri*
- =439 Also referenced in genus *Toumeyia*
- =440 Also referenced in genera *Navajoa*, *Toumeyia* and *Utahia*; includes *Pediocactus peeblesianus* var. *fickeisenii*
- =441 Also referenced in genera *Echinocactus* and *Utahia*
- =442 Includes generic synonym *Encephalocarpus*
- =443 Includes synonyms *Ancistrocactus tobuschii* and *Ferocactus tobuschii*
- =444 Also referenced in genera *Echinomastus* and *Neolloydia*; includes synonyms *Echinomastus acunensis* and *Echinomastus krausei*
- =445 Includes synonyms *Ferocactus glaucus*, *Sclerocactus brevispinus*, *Sclerocactus wetlandicus* and *Sclerocactus wetlandicus* ssp. *ilseae*
- =446 Also referenced in genera *Echinocactus*, *Echinomastus* and *Neolloydia*

- =447 Also referenced in genera *Coloradoa*, *Echinocactus*, *Ferocactus* and *Pediocactus*
- =448 Also referenced in genera *Echinocactus*, *Mammillaria*, *Pediocactus* and *Toumeyia*
- =449 Also referenced in genera *Echinocactus* and *Ferocactus*
- =450 Also referenced in genus *Pediocactus*
- =451 Includes generic synonyms *Gymnocactus*, *Normanbokea* and *Rapicactus*
- =452 Also referenced as *Saussurea lappa*
- =453 Also referenced as *Euphorbia decaryi* var. *capsaintemariensis*
- =454 Includes *Euphorbia cremersii* fa. *viridifolia* and *Euphorbia cremersii* var. *rakotozafyi*
- =455 Includes *Euphorbia cylindrifolia* ssp. *tuberifera*
- =456 Includes *Euphorbia decaryi* vars. *ampanihyensis*, *robinsonii* and *spirosticha*
- =457 Includes *Euphorbia moratii* vars. *antsingiensis*, *bemarahensis* and *multiflora*
- =458 Also referenced as *Euphorbia capsaintemariensis* var. *tulearensis*
- =459 Also referenced as *Engelhardia pterocarpa*
- =460 Includes *Aloe compressa* var. *rugosquamosa* and *Aloe compressa* var. *schistophila*
- =461 Includes *Aloe haworthioides* var. *aurantiaca*
- =462 Includes *Aloe laeta* var. *maniaensis*
- =463 Includes families Apostasiaceae and Cyprapediaceae as subfamilies Apostasioideae and Cypripedioideae
- =464 *Anacampseros australiana* and *A. kurtzii* are also referenced in genus *Grahamia*
- =465 Formerly included in *Anacampseros* spp.
- =466 Also referenced as *Sarracenia rubra* ssp. *alabamensis*
- =467 Also referenced as *Sarracenia rubra* ssp. *jonesii*
- =468 Formerly included in ZAMIACEAE spp.
- =469 Includes synonym *Stangeria paradoxa*

=470 Also referenced as *Taxus baccata* ssp. *wallichiana*

=471 Includes synonym *Welwitschia bainesii*.

11. The symbol (°) followed by a number placed against the name of a species or higher taxon shall be interpreted as follows:

°601 A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly

°602 Specimens of the domesticated form are not subject to the provisions of the Convention

°603 Annual export quotas for live specimens and hunting trophies are granted as follows:

Botswana: 5

Namibia: 150

Zimbabwe: 50

The trade in such specimens is subject to the provisions of Article III of the Convention

°604 For the exclusive purpose of allowing: 1) export of hunting trophies for non-commercial purposes; 2) export of live animals to appropriate and acceptable destinations (Namibia: for non-commercial purposes only); 3) export of hides (Zimbabwe only); 4) export of leather goods and ivory carvings for non-commercial purposes (Zimbabwe only). No international trade in ivory is permitted before 18 months after the transfer to Appendix II comes into effect (i.e. 18 March 1999). Thereafter, under experimental quotas for raw ivory not exceeding 25.3 tonnes (Botswana), 13.8 tonnes (Namibia) and 20 tonnes (Zimbabwe), raw ivory may be exported to Japan subject to the conditions established in Decision of the Conference of the Parties regarding ivory No. 10.1 All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly

°605 For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and hunting trophies. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly

°606 For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Appendix II (see + 211) and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) in Peru of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the

Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words »VICUÑA-COUNTRY OF ORIGIN«, depending on the country of origin. All other specimens shall be deemed to be specimens of species included in Appendix I and the trade in them shall be regulated accordingly

°607 Fossils are not subject to the provisions of the Convention

°608 Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of the Convention;

Hatiora x graeseri

Schlumbergera x buckleyi

Schlumbergera russelliana x Schlumbergera truncata

Schlumbergera orssichiana x Schlumbergera truncata

Schlumbergera opuntionies x Schlumbergera truncata

Schlumbergera truncata (cultivars)

Gymnocalycium mihanovichii (cultivars) forms lacking chlorophyll, grafted on the following grafting stocks: *Harrisia žJusbertiiž*, *Hylocereus trigonus* or *Hylocereus undatus*

Opuntia microdasys (cultivars)

°609 Artificially propagated specimens of cultivars of *Euphorbia trigona* are not subject to the provisions of the Convention

°610 Seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers are not subject to the provisions of the Convention

°611 Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of the Convention. However, the exemption does not apply to such specimens traded as dormant tubers.

12. In accordance with Article I, paragraph b, sub-paragraph (iii), of the Convention, the symbol (#) followed by a number placed against the name of a species or higher taxon included in Appendix II designates parts or derivatives which are specified in relation thereto for the purposes of the Convention as follows:

#1 Designates all parts and derivatives, except:

a) seeds, spores and pollen (including pollinia);

b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers; and

c) cut flowers of artificially propagated plants

#2 Designates all parts and derivatives, except:

a) seeds and pollen;

b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants; and

d) chemical derivatives

#3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery

#4 Designates all parts and derivatives, except:

a) seeds, except those from Mexican cacti originating in Mexico, and pollen;

b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants;

d) fruits and parts and derivatives thereof of naturalized or artificially propagated plants; and

e) separate stem joints (pads) and parts and derivatives thereof of naturalized or artificially propagated plants of the genus *Opuntia* subgenus *Opuntia*

#5 Designates logs, sawn wood and veneer sheets

#6 Designates logs, wood-chips and unprocessed broken material

#7 Designates all parts and derivatives, except:

a) seeds and pollen (including pollinia);

b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants; and

d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*

#8 Designates all parts and derivatives, except:

a) seeds and pollen;

b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;

c) cut flowers of artificially propagated plants; and

d) finished pharmaceutical products.

13. As none of the species or higher taxa of FLORA included in Appendix I is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article III of the Convention, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Convention.

FAUNA	I
II	
CHORDATA	
MAMMALIA	
MONOTREMATA	
Tachyglossidae	
Zaglossus spp.	
DASYUROMORPHIA	
Dasyuridae	Sminthopsis longicaudata
	Sminthopsis posammophila
Thylacinidae	Thylacinus cynocephalus p.e.
PERAMELEMORPHIA	
Peramelidae	Chaeropus ecaudatus p.e.
	Macrotis lagotis
	Macrotis leucura
	Perameles bougainville
DIPROTODONTIA	
Phalangeridae	
Phalanger orientalis	
Spilocuscus maculatus =301	
Vombatidae	Lasiorhinus krefftii
Macropodidae	
Dendrolagus inustus	
Dendrolagus ursinus	Lagrochestes hirsutus
	Lagostrophus fasciatus
	Onychogalea fraenata
	Onychogalea lunata
Potoroidae	Bettongia spp.
	Caloprymnus campestris p.e.
CHIROPTERA	
Pteropodidae	
Acerodon spp.*	Acerodon jubatus
	Acerodon lucifer p.e.
Pteropus spp.*	Pteropus insularis
	Pteropus mariannus
	Pteropus molossinus

	Pteropus phaeocephalus	
	Pteropus pilosus	
	Pteropus samoensis	
	Pteropus tonganus	
PRIMATES		
PRIMATES spp.* =302		
Lemuridae	Lemuridae spp.	
Megaladapidae	Megaladapidae spp. =303	
Cheirogaleidae	Cheirogaleidae spp.	
Indridae	Indridae spp.	
Daubentoniidae	Daubentonia madagascariensis	
Callithricidae	Callimico goeldii	
	Callithrix aurita = 304	
	Callithrix flaviceps = 304	
	Leontopithecus spp. = 305	
	Saguinus bicolor	
	Saguinus geoffroyi = 306	
	Saguinus leucopus	
	Saguinus oedipus	
Cebidae	Alouatta colbensis = 307	
	Alouatta palliata	
	Alouatta pigra = 308	
	Ateles geoffroyi fronatatus	
	Ateles geoffroyi panamensis	
	Brachyteles arachnoides	
	Cacajao spp.	
	Chiropotes albinasus	
	Lagothrix flavicauda	
	Saimiri oerstedii	
Cercopithecidae	Cercocebus galeritus galeritus	
	Cercopithecus diana = 309	
	Macaca silenus	
	Mandrillus leucophaeus =310	
	Mandrillus sphinx = 310	
	Nasalis concolor = 311	
	Nasalis larvatus	
	Presbytis potenziani	
	Procolobus pennantii kirkii = 312	
	Procolobus rufomitratu s = 313	
	Pygathrix spp. =314	
	Semnopithecus entellus = 315	
	Trachypithecus geei =316	
	Trachypithecus pileatus =317	
Hylobatidae	Hylobatidae spp.	
Hominide	Gorilla gorilla	
	Pan spp.	
	Pongo pygmaeus	
XENARTHRA		
Myrmecophagidae		
Myrmecophaga tridactyla		
Bradypodidae		
Bradypus variegatus =318		
Dasypodidae		
Chaetophractus nationi °601		
	Priodontes maximus =319	
PHOLIDOTA		
Manidae		Manis
spp.		
LAGOMORPHA		
Leporidae	Caprolagus hispidus	
	Romerolagus diazi	

RODENTIA		
Sciuridae	Cynomys mexicanus	
Ratufa spp.		
Muridae	Leporillus conditor	
	Pseudomys praeconis	
	Xeromys myoides	
	Zyzomys pedunculatus	
Chinchillidae	Chinchilla spp. °602	
CETACEA		
CETACEA spp.*		
Platanistidae	Lipotes vexillifer	
	Platanista spp.	
Ziphiidae	Berardius spp.	
	Hyperoodon spp.	
Physeteridae	Physeter catodon =320	
Delphinidae	Sotalia spp.	
	Sousa spp.	
Phocoenidae	Neophocaena phocaenoides	
	Phocoena sinus	
Eschrichtiidae	Eschrichtius robustus =321	
Balaenopteridae	Balaenoptera acutorostrata ** -101	
	Balaenoptera borealis	
	Balaenoptera edeni	
	Balaenoptera musculus	
	Balaenoptera physalus	
	Megaptera novaeangliae	
Balaenidae	Balaena mysticetus	
	Eubalaena spp. =322	
Neobalaenidae	Caperea marginata	
CARNIVORA		
Canidae	Canis lupus ** +201	Canis
lupus * -102		
Cerdocyon thous =323		
Chrysocyon brachyurus		
alpinus		Coun
Pseudalopex culpaeus =323		
Pseudalopex griseus =324		
Pseudalopex gymnocercus =323		
	Speothos venaticus	
Vulpes cana		
Vulpes zerda = 325		
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Ursidae spp.*		
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	Ailurus fulgens	
	Helarctos malayanus	
	Melursus ursinus	
	Termarctos ornatus	
	Ursus arctos ** +202	
	Ursus arctos isabellinus	
	Ursus thibetanus =326	
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Lutrinae		
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	Enhydra lutris nereis	
	Lontra felina = 328	
	Lontra longicaudis =329	
	Lontra provocax =328	
	Lutra lutra	
	Pteronura brasiliensis	
Mephitinae		
Conepatus humboldtii		
Mustelinae	Mustela nigripes	
Viverridae		
Cryptoprocta ferox		
Cynogale bennetti		
Eupleres goudotii =330		
fossana		Fossa
Hemigalus derbyanus		
Prionodon linsang	Prionodon pardicolor	
Hyaenidae		
Parahyaena brunnea =331		
Felidae		
Felidae spp. * °602		
	Acinonyx jubatus °603	
	Caracal caracal ** +204 =332	
	Catopuma temminckii =333	
	Felis nigripes	
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	Leopardus pardalis =333	
	Leopardus tigrinus =333	
	Leopardus wiedii =333	
	Lynx pardinus =334	
	Neofelis nebulosa	
	Oncifelis geoffroyi =333	
	Oreailurus jacobita =333	
	Panthera leo persica	
	Panthera onca	
	Panthera pardus	
	Panthera tigris	
	Pardofelis marmorata =333	
	Prionailurus bengalensis	
	bengalensis** +206=333	
	Prionailurus planiceps =333	
	Prionailurus rubiginosus** +207=333	
	Puma concolor coryi =333	
	Puma concolor costaricensis =333	
	Puma concolor couguar =333	
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		0604
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Dugongidae	Dugong dugon**	-104
Dugong dugon*		+209
Trichechidae	Trichechus inunguis	
	Trichechus manatus	
Trichechus senegalensis		
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Equidae	Equus africanus	=336
	Equus grevyi	
		Equus
hemionus*	Equus hemionus hemionus	
		Equus
kiang =337		Equus
onager* =337	Equus onager khur	=337
	Equus przewalskii	=338
		Equus
zebra hartmannae	Equus zebra zebra	
Tapiridae	Tapiridae spp.	
Tapirus terrestris		
Rhinocerotidae	Rhinocerotidae spp.**	
Ceratotherium simum		
simum*		+210
0605		
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Suidae	Babyrousa babyrussa	
	Sus salvanius	
	Tayassuidae	
Tayassuidae spp.*		-105
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Hexaprotodon liberiensis		=339
Hippopotamus amphibius		
Camelidae		
guanicoe		Lama
	Vicugna vicugna**	-106
Vicugna vicugna*		+211
0606		
Moschidae	Moschus spp.**	+212
Moschus spp.*		-107
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	Axis kuhlii	=341
	Axis porcinus annamiticus	=342
	Blastocerus dichotomus	
	Cervus duvaucelii	
Cervus elaphus bactrianus		
	Cervus elaphus hanglu	
	Cervus eldii	
	Dama mesopotamica	=343

	Hippocamelus spp.	
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	Muntiacus crinifrons	
	Ozotoceros bezoarticus	
		Pudu
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	Pudu puda	
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Ammotragus lervia		
		Bison
bison athabascae		
	Bos gaurus =344	
	Bos mutus =345 0602	
	Bos sauveli =346	
	Bubalus depressicornis =347	
	Bubalus mindorensis =347	
	Bubalus quarlesi =347	
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	Cephalophus jentinki	
Cephalophus monticola		
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Cephalophus silvicultor		
Cephalophus zebra		
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pygargus =348		
	Gazella dama	
	Hippotragus niger variani	
		Kobus
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	Naemorhedus caudatus =349	
	Naemorhedus goral	
	Naemorhedus sumatraensis =350	
	Oryx dammah =351	
	Oryx leucoryx	
		Ovis
ammon*		
	Ovis ammon hodgsonii	
	Ovis ammon nigrimontana	
		Ovis
canadensis +213		
	Ovis orientalis ophion =352	
	Ovis vignei vignei =353	
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	Pseudoryx nghetinhensis	
	Rupicapra pyrenaica ornata =354	
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Struthionidae	Struthio camelus +214	
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Tinamidae	Tinamus solitarius	
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Spheniscidae		
Spheniscus demersus	Spheniscus humboldti	
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Podicipedidae	Podilymbus gigas	
PROCELLARIIFORMES		
Diomedeidae	Diomedea albatrus	
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Sulidae	Papasula abbotti =356	
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Balaenicipitidae		
Balaeniceps rex		
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Ciconia nigra		
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	Mycteria cinerea	
Threskiornithidae		
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	Geronticus eremita	
	Nipponia nippon	
Platalea leucorodia		
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bernieri		Anas
formosa		
	Anas laysanensis =359	
	Anas oustaleti =360	
	Branta canadensis leucopareia	
Branta ruficollis		
	Branta sandvicensis	
	Cairina scutulata	
Coscoroba coscoroba		
Cygnus melanocorypha		
Dendrocygna arborea		
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	Rhodonessa caryophyllacea p.e.	
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FALCONIFORMES spp.* -108

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	Aquila heliaca
	Chondrohierax uncinatus wilsonii =362
	Haliaeetus albicilla
	Haliaeetus leucocephalus
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	Pithecophaga jefferyi
Falconidae	Falco araea
	Falco jugger
	Falco newtoni** +215
	Falco pelegrinoides =363
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Ithaginis cruentus

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Lophophorus sclateri
Lophura edwardsi
Lophura imperialis
Lophura swinhoii

Pavo

muticus

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Polyplectron emphanum

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Polyplectron schieiermacheri =367

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Syrmaticus mikado
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	Tympanuchus cupido attwateri	
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Gruidae spp.*		
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	Grus canadensis nesiotetes	
	Grus canadensis pulla	
	Grus japonensis	
	Grus laucogeranus	
	Grus monacha	
	Grus nigricollis	
	Grus vipio	
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	Ardeotis nigriceps =370	
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	Numenius tenuirostris	
	Tringa guttifer	
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Columbidae		
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	Ducula mindorensis	
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	Amazona barbadensis	
	Amazona brasiliensis	
	Amazona guildingii	
	Amazona imperialis	
	Amazona leucocephala	
	Amazona pretrei	
	Amazona rhodocorytha =372	
	Amazona tucumana	
	Amazona versicolor	
	Amazona vinacea	
	Amazona viridigenalis	
	Amazona vittata	
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	Ara glaucogularis =373	
	Ara macao	
	Ara maracana	
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	Aratinga guarouba	
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	Cyanoramahus auriceps forbesi	
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STRIGIFORMES spp.*

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Buceros vigil =384

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Pteroglossus viridis

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Ramphastos toco

Ramphastos tucanus

Ramphastos vitellinus		
Picidae	Campephilus imperialis	
	Dryocopus javensis richardsi	
PASSERIFORMES		
Cotingidae	Continga maculata	
Rupicola spp.		
	Xipholena atropurpurea	
Pittidae		Pitta
guajana		
	Pitta gurneyi	
	Pita kochi	
		Pita
nympha =385		
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Paroaria coronata		
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Carduelis yarrellii =390		
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		Padda
oryzivora		
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	Leucopsar rothschildi	
Paradisaeidae		
Paradisaeidae spp.		
REPTILIA		
TESTUDINATA		
Dermatemydidae		
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Callagur borneoensis

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Clemmys muhlenbergi
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Crocodylus intermedius
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Osteolaemus tetraspis
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Sauromalus varius
Lacertidae
Gallotia simonyi

Podarics lifordi

Podarcis pityusensis
Cordylidae
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Pseudocordylus spp.
Teiidae
Cnemidophorus hyperythrus

Crocodylurus lacertinus

Dracaena spp.

Tupinambis spp.
Scincidae
Corucia zebrata
Xenosauridae
Shinisaurus crocodilurus
Helodermatidae
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Ptyas

Naja

Bufo

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 1ACIPENSERIFORMES
 spp.*
 Acipenseridae Acipenser brevirostrum

 Acipenser oxyrhynchus Acipenser sturio

 Polyodontidae
 Polyodon spathula
 OSTEOGLOSSIFORMES
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 Arapaima gigas Scleropages formosus

 CYPRINIFORMES
 Cyprinidae
 Caecobarbus geertsi Probarbus jullieni

 Catostomidae
 Chasmistes cujus
 SILURIFORMES
 Pangasiidae Pangasianodon gigas
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 Sciaenidae Cynoscion macdonaldi
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 Papilio homerus
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 Hirudo medicinalis
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Unionidae

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Cyprogenia aberti

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Epioblasma florentina =419
Epioblasma sampsoni =419
Epioblasma sulcata perobliqua =419

Epioblasma torulosa

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Epioblasma torulosa torulosa =419
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Lampsilis orbiculata orbiculata
Lampsilis satur
Lampsilis virescens
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Toxolasma cylindrella =421
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Papustyla pulcherrima =425

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CNIDARIA

ANTHOZOA

COENOTHECALIA

COENOTHECALIA spp.

=426 °607

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Tubiporidae

Tubiporidae spp. °607

ANTIPATHARIA

ANTIPATHARIA spp.

SCLERACTINIA

SCLERACTINIA spp. °607

HYDROZOA

MILLEPORINA

Milleporidae

Milleporidae spp. °607

STYLASTERINA
Stylasteridae
Stylasteridae spp. °607

FLORA
II

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AGAVACEAE	Agave arizonica Agave parviflora	Agave
victoriae-reginae #1		
	Nolina interrata	
AMARYLLIDACEAE		
Galanthus spp. #1		
Sternbergia spp. #1		
APOCYNACEAE		
Pachypodium spp.* #1	Pachypodium ambongense Pachypodium baronii Pachypodium decaryi	
Rauvolfia serpentina #2		Panax
ARALIACEAE		
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ARAUCARIACEAE	Araucaria araucana** +217	
Araucaria araucana* -114 #1		
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Tillandsia kautskyi #1		
Tillandsia mauryana #1		
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 Melocactus deinacanthus
 Melocactus glaucescens
 Melocactus paucispinus
 Obregonia denegrii
 CACTACEAE (cont.) Pachycereus militaris =437
 Pediocactus bradyi =438
 Pediocactus knowltonii =439
 Pediocactus paradinei
 Pediocactus peeblesianus =440
 Pediocactus sileri =441
 Pelecyphora spp. =442
 Sclerocactus brevihamatus spp. tobuschii =443
 Sclerocactus erectocentrus =444
 Sclerocactus glaucus =445
 Sclerocactus mariposensis =446
 Sclerocactus mesae-verdae =447
 Sclerocactus papyracanthus =448
 Sclerocactus pubispinus =449
 Sclerocactus wrightiae =450
 Strombocactus spp.
 Turbinicarpus spp. =451
 Uebelmannia spp.

CARYOCARACEAE
 Caryocar costaricense #1

CEPHALOTACEAE
 Cephalotus follicularis #1

COMPOSITAE
 (ASTERACEAE) Saussurea costus =452

CRASSULACEAE
 Dudleya stolonifera
 Dudleya traskiae

CUPRESSACEAE
 Fitzroya cupressoides
 Pilgerodendron uviferum

CYATHEACEAE
 CYATHEACEAE spp. #1

CYCADACEAE
 CYCADACEAE spp.* #1
 Cycas beddomei

DIAPENSIACEAE
 Shortia galacifolia #1

DICKSONIACEAE
 DICKSONIACEAE spp. #1

DIDIEREACEAE
 DIDIEREACEAE spp. #1

DIOSCOREACEAE
 Dioscorea deltoidea #1

DROSERACEAE
 Dionaea muscipula #1

ERICACEAE
 Kalmia cuneata #1

EUPHORBIACEAE
 Euphorbia spp.*-115 °609 #1
 Euphorbia ambovombensis
 Euphorbia capsaintemariensis =453
 Euphorbia cremersii =454
 Euphorbia cylindrifolia =455
 Euphorbia decaryi =456
 Euphorbia francoisii

Euphorbia moratii =457
Euphorbia parvicycathophora
Euphorbia quartziticola
Euphorbia tulearensis =458

FOUQUIERIACEAE

Fouquieria columnaris #1

Fouquieria fasciculata
Fouquieria purpusii

JUGLANDACEAE

Oreomunnea pterocarpa =459 #1

LEGUMINOSAE

Dalbergia nigra

(FABACEAE)

Pericopsis elata #5

Platymiscium pleiostachyum

#1

Pterocarpus santalinus #6

LILIACEAE

Aloe

spp.* -116 #1

Aloe albida
Aloe albiflora
Aloe alfredii
Aloe bakeri
Aloe bellatula
Aloe calcairophila
Aloe compressa =460
Aloe delphinensis
Aloe descoingsii
Aloe fragilis
Aloe haworthioides =461
Aloe helenae
Aloe laeta =462
Aloe parallelifolia
Aloe parvula
Aloe pillansii
Aloe polyphylla
Aloe rauhi
Aloe suzannae
Aloe thorncroftii
Aloe versicolor
Aloe vossii

MELIACEAE

Swietenia humilis #1

Swietenia mahagoni #5

NEPENTHACEAE

Nepenthes spp.* #1

Nepenthes khasiana
Nepenthes rajah

ORCHIDACEAE

ORCHIDACEAE spp.*= 463 #7

Cattleya trianaei 0610
Dendrobium cruentum 0610
Laelia jongheana 0610
Laelia lobata 0610
Paphiopedilum spp. 0610
Peristeria elata 0610
Phragmipedium spp. 0610
Renanthera imschootiana 0610
Vanda coerulea 0610

Guaiacum sanctum #1

APPENDIX III

valid from 29 April 1999

INTERPRETATION

1. References to taxa higher than species are for the purpose of information or classification only.

2. The symbol (+) followed by a number placed against the name of a species denotes that only designated geographically separate populations of that species are included in Appendix III as follows:

- +218 Population of the species in Bolivia
- +219 Population of the species in Brazil
- +220 All populations of the species in the Americas
- + 221 Population of the species in Mexico.

3. The symbol (=) followed by a number placed against the name of a species denotes that the name of that species shall be interpreted as follows:

- =472 Also referenced as *Vampyrops lineatus*
- =473 Formerly included as *Tamandua tetradactyla* (in part)
- =474 Includes synonym *Cabassous gymnurus*
- =475 Includes generic synonym *Coendou*
- =476 Includes generic synonym *Cuniculus*
- =477 Includes synonym *Vulpes vulpes leucopus*
- =478 Formerly included as *Nasua nasua*
- =479 Includes synonym *Galictis alamandi*
- =480 Formerly included in *Martes flavigula*
- =481 Includes generic synonym *Viverra*
- =482 Formerly included as *Viverra megaspila*
- =483 Formerly included as *Herpestes auropunctatus*
- =484 Formerly included as *Herpestes fuscus*
- =485 Formerly included as *Bubalus bubalis* (domesticated form)
- =486 Also referenced as *Boocercus eurycerus*; includes generic synonym *Taurotragus*
- =487 Also referenced as *Ardeola ibis*
- =488 Also referenced as *Egretta alba* and *Ardea alba*
- =489 Also referenced as *Hagedashia hagedash*
- =490 Also referenced as *Lampribis rara*
- =491 Also referenced as *Spatula clypeata*
- =492 Also referenced as *Nyroca nyroca*
- =493 Includes synonym *Dendrocygna fulva*
- =494 Also referenced as *Cairina hartlaubii*
- =495 Also referenced as *Crax pauxi*
- =496 Formerly included as *Arborophila brunneopectus* (in part)
- =497 Also referenced as *Turturoena iriditorques*: formerly included as *Columba malherbii* (in part)
- =498 Also referenced as *Nesoenas mayeri*
- =499 Formerly included as *Treron australis* (in part)
- =500 Also referenced as *Calopelia brehmeri*; includes synonym *Calopelia puella*
- =501 Also referenced as *Tympanistria tympanistria*
- =502 Also referenced as *Tchitrea bourbonensis*
- =503 Formerly included as *Serinus gulatis* (in part)
- =504 Also referenced as *Estrilda subflava* or *Sporaeginthus subflavus*
- =505 Formerly included as *Lagonosticta larvata* (in part)
- =506 Includes generic synonym *Spermestes*
- =507 Also references as *Euodice cantans*; formerly included as *Lonchura malabarica* (in part)
- =508 Also referenced as *Hypargos nitidulus*
- =509 Formerly included as *Parmoptila woodhousei* (in part)

- =510 Includes synonyms *Pyrenestes frommi* and *Pyrenestes rothschildi*
- =511 Also referenced as *Estrilda bengala*
- =512 Also referenced as *Malimbus rubriceps* or *Anaplectes melanotis*
- =513 Also referenced as *Coliuspasser ardens*
- =514 Formerly included as *Euplectes orix* (in part)
- =515 Also referenced as *Coliuspasser macrourus*
- =516 Also referenced as *Ploceus superciliosus*
- =517 Includes synonym *Ploceus nigriceps*
- =518 Also referenced as *Sitagra luteola*
- =519 Also referenced as *Sitagra melanocephala*
- =520 Formerly included as *Plcceus velatus*
- =521 Also referenced as *Hypochera chalybeata*; includes synonyms *Vidua amauropteryx*, *Vidua centralis*, *Vidua neumanni*, *Vidua okavangoensis* and *Vidua ultramarina*
- =522 Formerly includes as *Vidua paradiseae* (in part)
- =523 Also referenced as *Pelusios subniger*
- =524 Formerly included in genus *Natrix*
- =525 Formerly included as *Talauma hodgsonii*; also referenced as *Magnolia hodgsonii* and *Magnolia candollei* var. *obovata*.
4. The names of the countries placed against the names of species are those of the Parties submitting these species for inclusion in this appendix.
5. Any animal, whether live or dead, of a species listed in this appendix, is covered by the provisions of the Convention, as is any readily recognizable part or derivative thereof.
6. In accordance with Article I, paragraph (b), sub-paragraph (iii), of the Convention, the symbol (#) followed by a number placed against the name of a plant species included in Appendix III designates parts or derivatives which are specified in relation thereto for the purposes of the Convention as follows:
- #1 Designates all readily recognizable parts and derivatives, except:
- a) seeds, spores and pollen (including pollinia);
- b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; and
- c) cut flowers of artificially propagated plants
- #5 Designates logs, sawn wood and veneer sheets.

CHORDATA

MAMMALIA

CHIROPTERA

Phyllostomidae *Platyrrhinus lineatus* =472

Uruguay

XENARTHRA

Myrmecophagidae *Tamandua mexicana* =473

Guatemala

Megalonychidae *Choloepus hoffmanni* Costa

Rica

Dasypodidae *Cabassous centralis* Costa

Rica

Cabassous tatouay =474

Uruguay

RODENTIA

Sciuridae *Epixerus ebii* Ghana

Marmota caudata

India/Inde

Marmota himalayana

India/Inde

Sciurus deppei Costa

Rica

Anomaluridae *Anomalurus beecrofti* Ghana

	Anomalurus derbianus	Ghana
	Anomalurus pelii	Ghana
	Idiurus macrotis	Ghana
Hystricidae	Hystrix cristata	Ghana
Erethizontidae	Sphiggurus mexicanus =475	
Honduras		
	Sphiggurus spinosus =475	
Uruguay		
Agoutidae	Agouti paca =476	
Honduras		
Dasyproctidae	Dasyprocta punctata	
Honduras		
CARNIVORA		
Canidae	Canis aureus	
India/Inde		
	Vulpes bengalensis	
India/Inde		
	Vulpes vulpes griffithi	
India/Inde		
	Vulpes vulpes montana	
India/Inde		
	Vulpes vulpes pusilla =477	
India/Inde		
Procyonidae	Bassaricyon gabbii	Costa
Rica		
	Bassariscus sumichrasti	Costa
Rica		
	Nasua narica =478	
Honduras		
	Nasua nasua solitaria	
Uruguay		
	Potos flavus	
Honduras		
Mustelidae	Eira barbara	
Honduras		
	Galictis vittata =479	Costa
Rica		
	Martes flavigula	
India/Inde		
	Martes foina intermedia	
India/Inde		
	Martes gwatkinsii =480	
India/Inde		
	Mellivora capensis	
Botswana, Ghana		
	Mustela altaica	
India/Inde		
	Mustela erminea ferghanae	
India/Inde		
	Mustela kathiah	
India/Inde		
	Mustela sibirica	
India/Inde		
Viverridae	Arctictis binturong	
India/Inde		
	Civettictis civetta =481	
Botswana		
	Paguma larvata	
India/Inde		
	Paradoxurus	

	hermaphroditus	
India/Inde	Paradoxurus jerdoni	
India/Inde	Viverra civettina = 482	
India/Inde	Viverra zibetha	
India/Inde	Viverricula indica	
India/Inde Herpestidae	Herpestes brachyurus fuscus =484	
India/Inde	Herpestes edwardsii	
India/Inde	Herpestes javanicus auropunctatus =483	
India/Inde	Herpestes smithii	
India/Inde	Herpestes urva	
India/Inde	Herpestes vitticollis	
India/Inde Hyaenidae	Proteles cristatus	
Botswana Odobenidae	Odobenus rosmarus	
Canada ARTIODACTYLA Tragulidae	Hyemoschus aquaticus	Ghana
Cervidae	Cervus elaphus barbarus	
Tunisia/Tunisie	Mazama americana cerasina	
Guatemala	Odocoileus virginianus mayensis	
Guatemala Bovidae	Antilope cervicapra	
Nepal/Népal	Bubaius arnee =485	
Nepal/Népal	Damaliscus lunatus	Ghana
	Gazella cuvieri	
Tunisia/Tunisie	Gazella dorcas	
Tunisia/Tunisie	Gazella leptoceros	
Tunisia/Tunisie	Tetracerus quadricornis	
Nepal/Népal	Tragelaphus eurycerus =486	Ghana
	Tragelaphus spekii	Ghana
AVES CICONIIFORMES Ardeidae	Ardea goliath	Ghana
	Bubulcus ibis =487	Ghana
	Casmerodius albus =488	Ghana
	Egretta garzetta	Ghana
Ciconiidae	Ephippiorhynchus senegalensis	Ghana
	Leptoptilos crumeniferus	Ghana

Threskiornithidae	Bostrychia hagedash =489	Ghana
	Bostrychia rara =490	Ghana
	Threskiornis aethiopicus	Ghana
ANSERIFORMES		
Anatidae	Alopochen aegyptiacus	Ghana
	Anas acuta	Ghana
	Anas capensis	Ghana
	Anas clypeata =491	Ghana
	Anas crecca	Ghana
	Anas penelope	Ghana
	Anas querquedula	Ghana
	Aythya nyroca =492	Ghana
	Cairina moschata	
Honduras		
	Dendrocygna autumnalis	
Honduras		
	Dendrocygna bicolor =493	
Ghana, Honduras		
	Dendrocygna viduata	Ghana
	Nettapus auritus	Ghana
	Plectropterus gambensis	Ghana
	Pteronetta hartlaubii =494	Ghana
FALCONIFORMES		
Cathartidae	Sarcoraphus papa	
Honduras		
GALLIFORMES		
Cracidae	Crax alberti	
Colombia/Colombie		
	Crax daubentoni	
Colombia/Colombie		
	Crax globulosa	
Colombia/Colombie		
	Crax rubra	
Colombia/Colombie,		
Rica,		Costa
Guatemala, Honduras		
	Ortalis vetula	
Guatemala, Honduras		
	Pauxi pauxi =495	
Colombia/Colombie		
	Penelope purpurascens	
Honduras		
	Penelopina nigra	
Guatemala		
Phasianidae	Agelastes meleagrides	Ghana
	Agriocharis ocallata	
Guatemala		
	Arborophila charltonii	
Malaysia/Malasia/Malaisie		
	Arborophila orientalis =496	
Malaysia/Malasia/Malaisie		
	Caloperdix oculea	
Malaysia/Malasia/Malaisie		
	Lophura erythrophthalma	
Malaysia/Malasia/Malaisie		
	Lophura ignita	
Malaysia/Malasia/Malaisie		
	Melanoperdix nigra	
Malaysia/Malasia/Malaisie		

	<i>Polyplectron inopinatum</i>	
Malaysia/Malasia/Malaisie	<i>Rhizothera longirostris</i>	
Malaysia/Malasia/Malaisie	<i>Rollulus rouloul</i>	
Malaysia/Malasia/Malaisie	<i>Tragopan satyra</i>	
Nepal/Népal		
CHARADRIIFORMES		
Burhinidae	<i>Burhinus bistriatus</i>	
Guatemala		
COLUMBIFORMES		
Columbidae	<i>Columba guinea</i>	Ghana
	<i>Columba iriditorques</i> =497	Ghana
	<i>Columba livia</i>	Ghana
	<i>Columba mayeri</i> =498	
Mauritius/Mauricio/Maurice		
	<i>Columba unicincta</i>	Ghana
	<i>Oena capensis</i>	Ghana
	<i>Streptopelia decipiens</i>	Ghana
	<i>Streptopelia roseogrisea</i>	Ghana
	<i>Streptopelia semitarquata</i>	Ghana
	<i>Streptopelia senegalensis</i>	Ghana
	<i>Streptopelia turtur</i>	Ghana
	<i>Streptopelia vinacea</i>	Ghana
	<i>Treron calva</i> =499	Ghana
	<i>Treron waalia</i>	Ghana
	<i>Turtur abyssinicus</i>	Ghana
	<i>Turtur afer</i>	Ghana
	<i>Turtur brehmeri</i> =500	Ghana
	<i>Turtur tympanistria</i> =501	Ghana
PSITTACIFORMES		
Psittacidae	<i>Psittacula krameri</i>	Ghana
CUCULIFORMES		
Musophagidae	<i>Corythaeola cristata</i>	Ghana
	<i>Crinifer piscator</i>	Ghana
	<i>Musophaga violacea</i>	Ghana
PICIFORMES		
Capitonidae	<i>Semnornis ramphastinus</i>	
Colombia/Colombie		
Ramphastidae	<i>Baillonius bailloni</i>	
Argentina/Argentine		
	<i>Pteroglossus castanotis</i>	
Argentina/Argentine		
	<i>Ramphastos dicolorus</i>	
Argentina/Argentine		
	<i>Selenidera maculirostris</i>	
Argentina/Argentine		
PASSERIFORMES		
Cotingidae	<i>Cephalopterus ornatus</i>	
Colombia/Colombie		
	<i>Cephalopterus penduliger</i>	
Colombia/Colombie		
Muscicapidae	<i>Bebrornis rodericanus</i>	
Mauritius/Mauricio/Maurice		
	<i>Terpsiphone</i>	
	<i>bourbonensis</i> =502	
Mauritius/Mauricio/Maurice		
Fringillidae	<i>Serinus canicapillus</i> =503	Ghana
	<i>Serinus leucopygius</i>	Ghana
	<i>Serinus mozambicus</i>	Ghana

Estrildidae	<i>Amadina fasciata</i>	Ghana
	<i>Amandava subflava</i> = 504	Ghana
	<i>Estrilda astrild</i>	Ghana
	<i>Estrilda caerulescens</i>	Ghana
	<i>Estrilda melpoda</i>	Ghana
	<i>Estrilda troglodytes</i>	Ghana
	<i>Lagonosticta rara</i>	Ghana
	<i>Lagonosticta rubricata</i>	Ghana
	<i>Lagonosticta rufopicta</i>	Ghana
Estrildidae (cont.)	<i>Lagonosticta senegala</i>	Ghana
	<i>Lagonosticta vinacea</i> = 505	Ghana
	<i>Lonchura bicolor</i> = 506	Ghana
	<i>Lonchura cantans</i> = 507	Ghana
	<i>Lonchura cucullata</i> = 506	Ghana
	<i>Lonchura fringilloides</i> = 506	Ghana
	<i>Mandingoa nitidula</i> = 508	Ghana
	<i>Nesocharis capistrata</i>	Ghana
	<i>Nigrita bicolor</i>	Ghana
	<i>Nigrita canicapilla</i>	Ghana
	<i>Nigrita fusconota</i>	Ghana
	<i>Nigrita luteifrons</i>	Ghana
	<i>Ortygospiza atricollis</i>	Ghana
	<i>Parmoptila rubrifrons</i> = 509	Ghana
	<i>Pholidornis rushiae</i>	Ghana
	<i>Pyrenestes ostrinus</i> = 510	Ghana
	<i>Pytilia hypogrammica</i>	Ghana
	<i>Pytilia phoenicoptera</i>	Ghana
	<i>Spermophaga haematina</i>	Ghana
	<i>Uraeginthus bengalus</i> = 511	Ghana
Ploceidae	<i>Amblyospiza albifrons</i>	Ghana
	<i>Anaplectes rubriceps</i> = 512	Ghana
	<i>Anomalospiza imberbis</i>	Ghana
	<i>Bubalornis albirostris</i>	Ghana
	<i>Euplectes afer</i>	Ghana
	<i>Euplectes ardens</i> = 513	Ghana
	<i>Euplectes franciscanus</i>	
	= 514	Ghana
	<i>Euplectes hordeaceus</i>	Ghana
	<i>Euplectes macrourus</i> = 515	Ghana
	<i>Malimbus cassini</i>	Ghana
	<i>Malimbus malimbicus</i>	Ghana
	<i>Malimbus nitens</i>	Ghana
	<i>Malimbus rubricollis</i>	Ghana
	<i>Malimbus scutatus</i>	Ghana
	<i>Pachyphantes superciliosus</i>	
	= 516	Ghana
	<i>Passer griseus</i>	Ghana
	<i>Petronia dentata</i>	Ghana
	<i>Plocepasser superciliosus</i>	Ghana
	<i>Ploceus albinucha</i>	Ghana
	<i>Ploceus aurantius</i>	Ghana
	<i>Ploceus cucullatus</i> = 517	Ghana
	<i>Ploceus heuglini</i>	Ghana
	<i>Ploceus luteolus</i> = 518	Ghana
	<i>Ploceus melanocephalus</i>	
	= 519	Ghana
	<i>Ploceus nigerrimus</i>	Ghana
	<i>Ploceus nigricollis</i>	Ghana
	<i>Ploceus pelzelni</i>	Ghana
	<i>Ploceus preussi</i>	Ghana
	<i>Ploceus tricolor</i>	Ghana

	<i>Ploceus vitellinus</i> = 520	Ghana
	<i>Quelea erythropis</i>	Ghana
	<i>Sporopipes frontalis</i>	Ghana
	<i>Vidua chalybeata</i> = 521	Ghana
	<i>Vidua interjecta</i>	Ghana
	<i>Vidua larvaticola</i>	Ghana
	<i>Vidua macroura</i>	Ghana
	<i>Vidua orientalis</i> = 522	Ghana
	<i>Vidua raricola</i>	Ghana
	<i>Vidua togoensis</i>	Ghana
	<i>Vidua wilsoni</i>	Ghana
REPTILIA		
TESTUDINATA		
Trionychidae	<i>Trionyx triunguis</i>	Ghana
Pelomedusidae	<i>Pelomedusa subrula</i>	Ghana
	<i>Pelusios adansonii</i>	Ghana
	<i>Pelusios castaneus</i>	Ghana
	<i>Pelusios gabonensis</i> = 523	Ghana
	<i>Pelusios niger</i>	Ghana
SERPENTES		
Colubridae	<i>Atretium schistosum</i>	
India/Inde		
India/Inde	<i>Cerberus rhynchops</i>	
India/Inde	<i>Xenochrophis piscator</i> = 524	
Elapidae	<i>Micrurus diastema</i>	
Honduras		
Honduras	<i>Micrurus nigrocinctus</i>	
Viperidae	<i>Agkistrodon bilineatus</i>	
Honduras		
Honduras	<i>Bothrops asper</i>	
Honduras	<i>Bothrops nasutus</i>	
Honduras	<i>Bothrops nummifer</i>	
Honduras	<i>Bothrops ophryomegas</i>	
Honduras	<i>Bothrops schlegelii</i>	
Honduras	<i>Crotalus durissus</i>	
India/Inde	<i>Vipera russellii</i>	
FLORA		
GNETACEAE	<i>Gnetum montanum</i> #1	
Nepal/Népal		
MAGNOLIACEAE	<i>Magnolia liliifera</i> var. <i>obovata</i> = 525 #1	
Nepal/Népal		
MELIACEAE	<i>Swietenia macrophylla</i> #5	
Bolivia /Bolivie + 218		
Brazil/Brasil/Brésil + 219		
Rica + 220		Costa

Cheirogaleidae	Cheirogaleidae spp.	
Indridae	Indridae spp.	
Daubentoniidae	Daubentonia madagascariensis	
Callithricidae	Callimico goeldii	
	Callithrix aurita = 304	
	Callithrix flaviceps = 304	
	Leontopithecus spp. = 305	
	Saguinus bicolor	
	Saguinus geoffroyi = 306	
	Saguinus leucopus	
	Saguinus oedipus	
Cebidae	Alouatta colbensis = 307	
	Alouatta palliata	
	Alouatta pigra = 308	
	Ateles geoffroyi fronatatus	
	Ateles geoffroyi panamensis	
	Brachyteles arachnoides	
	Cacajao spp.	
	Chiropotes albinasus	
	Lagothrix flavicauda	
	Saimiri oerstedii	
Cercopithecidae	Cercocebus galeritus galeritus	
	Cercopithecus diana = 309	
	Macaca silenus	
	Mandrillus leucophaeus =310	
	Mandrillus sphinx = 310	
	Nasalis concolor = 311	
	Nasalis larvatus	
	Presbytis potenziani	
	Procolobus pennantii kirkii = 312	
	Procolobus rufomitratu = 313	
	Pygathrix spp. =314	
	Semnopithecus entellus = 315	
	Trachypithecus geei =316	
	Trachypithecus pileatus =317	
Hylobatidae	Hylobatidae spp.	
Hominide	Gorilla gorilla	
	Pan spp.	
	Pongo pygmaeus	
XENARTHRA		
Myrmecophagidae	Myrmecophaga tridactyla	
Bradyrodidae		Bradypus
varie-gatus =318		
Dasyrodidae	Chaetopractus nationi °601	
	Priodontes maximus =319	
PHOLIDOTA		
Manidae		Manis
spp.		
LAGOMORPHA		
Leporidae	Caprolagus hispidus	
	Romerolagus diazi	
RODENTIA		
Sciuridae	Cynomys mexicanus	
		Ratufa
spp.		
Muridae	Leporillus conditor	
	Pseudomys praeconis	
	Xeromys myoides	
	Zyzomys pedunculatus	

Chinchillidae		
	Chinchilla spp. °602	
CETACEA		CETACEA
spp.*		
Platanistidae	Lipotes vexillifer	
	Platanista spp.	
Ziphiidae	Berardius spp.	
	Hyperoodon spp.	
Physeteridae	Physeter catodon =320	
Delphinidae	Sotalia spp.	
	Sousa spp.	
Phocoenidae	Neophocaena phocaenoides	
	Phocoena sinus	
Eschrichtiidae	Eschrichtius robustus =321	
Balaenopteridae	Balaenoptera acutorostrata ** -101	
	Balaenoptera borealis	
	Balaenoptera edeni	
	Balaenoptera musculus	
	Balaenoptera physalus	
	Me-gaptera novaeangliae	
Balaenidae	Balaena mysticetus	
	Eubalaena spp. =322	
Neobalaenidae	Caperea marginata	
CARNIVORA		
Canidae	Canis lupus ** +201	Canis
lupus * -102		
	Cerdocyon thous =323	
	Chrysocyon brachyurus	
		Coun
alpinus		
	Pseudalopex culpaeus =323	
	Pseudalopex griseus =324	
	Pseudalopex	
	gymnocercus =323	
	Speothos venaticus	Vulpes
cana		
		Vulpes
zerda = 325		
Ursidae		Ursidae
spp.*		
	Ailuropoda melanoleuca	
	Ailurus fulgens	
	Helarctos malayanus	
	Melursus ursinus	
	Termarctos ornatus	
	Ursus arctos ** +202	
	Ursus arctos isabellinus	
	Ursus thibetanus =326	
Mustelidae		
Lutrinae		Lutrinae
spp. *		
	Aonyx congicus ** +203 =327	
	Enhydra lutris nereis	
	Lontra felina = 328	

	Lontra longicaudis =329	
	Lontra provocax =328	
	Lutra lutra	
	Pteronura brasiliensis	
Mephitinae		
	Conepatus humboldtii	
Mustelinae	Mustela nigripes	
Viverridae		
	Cryptoprocta ferox	
		Cynogale
bennetti		
		Eupleres
goudotii =330		
		Fossa
fossana		
	Hemigalus derbyanus	
	Prionodon linsang	
	Prionodon pardicolor	
Hyaenidae		
	Parahyaena brunnea =331	
Felidae		Felidae
spp. * °602		
	Acinonyx jubatus °603	
	Caracal caracal ** +204 =332	
	Catopuma temminckii =333	
	Felis nigripes	
Felidae (cont.)	Herpailurus yaguarondi** +205=333	
	Leopardus pardalis =333	
	Leopardus tigrinus =333	
	Leopardus wiedii =333	
	Lynx pardinus =334	
	Neofelis nebulosa	
	Oncifelis geoffroyi =333	
	Oreailurus jacobita =333	
	Panthera leo persica	
	Panthera onca	
	Panthera pardus	
	Panthera tigris	
	Pardofelis marmorata =333	
	Prionailurus bengalensis	
	bengalensis**	+206=333
	Prionailurus planiceps =333	
	Prionailurus rubiginosus**+207=333	
	Puma concolor coryi =333	
	Puma concolor costaricensis =333	
	Puma concolor couguar =333	
	Uncia uncia =335	
Otariidae		
	Arctocephalus spp.*	
	Arctocephalus townsendi	
Phocidae		Mirounga
leonina		
	Monachus spp.	
PROBOSCIDEA		
Elephantidae	Elephas maximus	
	Loxodonta africana** -103	
	Loxodonta africana* +208	
		0604
SIRENIA		

Dugongidae	Dugong dugon** -104	Dugong	
dugon* +209			
Trichechidae	Trichechus inunguis		
	Trichechus manatus		
	Trichechus sene-galensis		
PERISSODACTYLA			
Equidae	Equus africanus =336	Equus	
	Equus grevyi		
hemionus*			
	Equus hemionus hemionus	Equus	
kiang =337		Equus	
onager* =337		Equus	
	Equus onager khur =337		
	Equus przewalskii =338		
zebra hartmannae		Equus	
	Equus zebra zebra		
Tapiridae	Tapiridae spp.	Tapirus	
terrestris			
Rhinocerotidae	Rhinocerotidae spp.**		
	Ceratotherium simum		simum*
		+210	
0605			
ARTIODACTYLA			
Suidae	Babyrousa babyrussa		
	Sus salvanius		
	Tayassuidae		
	Tayassuidae spp.* -105		
	Catagonus wagneri		
Hippopotamidae			
	Hexaprotodon liberiensis =339		
	Hippopotamus amphibius		
Camelidae		Lama	
guanicoe			
	Vicugna vicugna** -106	Vicugna	
vicugna* +211 0606			
Moschidae	Moschus spp.** +212	Moschus	
spp.* -107			
Cervidae	Axis calamianensis =340		
	Axis kuhlii =341		
	Axis porcinus annamiticus =342		
	Blastocerus dichotomus		
	Cervus duvaucelii	Cervus	
elaphus bactrianus			
	Cervus elaphus hanglu		
	Cervus eldii		
	Dama mesopotamica =343		
	Hippocamelus spp.		
	Me-gamuntiacus vuquanghensis		
	Muntiacus crinifrons		
	Ozotoceros bezoarticus		
		Pudu	
mephistophiles			

	Pudu puda	
Antilocapridae	Antilocapra americana +213	
Bovidae	Addax nasomaculatus	
	Ammotragus lervia	Bison
bison athabascae		
	Bos gaurus =344	
	Bos mutus =345 0602	
	Bos sauveli =346	
	Bubalus depressicornis =347	
	Bubalus mindorensis =347	
	Bubalus quarlesi =347	Budcrcas
taxicolor		
	Capra falconeri	
	Cephalophus dorsalis	
	Cephalophus jentinki	
	Cephalophus monticola	
Bovidae (cont.)		
	Cephalophus ogilbyi	
	Cephalophus silvicultor	
	Cephalophus zebra	
	Damaliscus pygargus	pygargus
=348		
	Gazella dama	
	Hippotragus niger variani	Kobus
leche		
	Naemorhedus baileyi =349	
	Naemorhedus caudatus =349	
	Naemorhedus goral	
	Naemorhedus sumatraensis =350	
	Oryx dammah =351	
	Oryx leucoryx	Ovis
ammon*		
	Ovis ammon hodgsonii	
	Ovis ammon nigrimontana	Ovis
canadensis +213		
	Ovis orientalis ophion =352	
	Ovis vignei vignei =353	
	Pantholops hodgsonii	
	Pseudoryx nghetinhensis	
	Rupicapra pyrenaica ornata =354	Saiga
tatarica		
AVES - PTICE		
STRUTHIONIFORMES		
Struthionidae	Struthio camelus +214	
RHEIFORMES		
Rheidae		Rhea
americana		
	Rhea pennata =355	

TINAMIFORMES		
Tinamidae	Tinamus solitarius	
SPHENISCIFORMES		
Spheniscidae	Spheniscus demersus	
	Spheniscus humboldti	
PODICIPEDIFORMES		
Podicipedidae	Podilymbus gigas	
PROCELLARIIFORMES		
Diomedeidae	Diomedea albatrus	
PELECANIFORMES		
Pelecanidae	Pelecanus crispus	
Sulidae	Papasula abbotti =356	
Fre-gatidae	Fre-gata andrewsi	
CICONIIFORMES		
Balaenicipitidae	Balaeniceps rex	
Ciconiidae	Ciconia boyciana =357	Ciconia
nigra		
	Jabiru mycteria	
	Mycteria cinerea	
Threskiornithidae		
	Eudocimus ruber	
	Geronticus calvus	
	Geronticus eremita	
	Nipponia nippon	Platalea
leucorodia		
Phoenicopteridae	Phoenicopteridae spp.	
ANSERIFORMES		
Anatidae	Anas aucklandica =358	Anas
bernieri		Anas
formosa	Anas laysanensis =359	
	Anas oustaleti =360	
	Branta canadensis leucopareia	Branta
ruficollis		
	Branta sandvicensis	
	Cairina scutulata	
	Coscoroba coscoroba	Cygnus
melanocorypha		
	Dendrocygna arborea	Oxyura
leucocephala		
	Rhodonessa caryophyllacea p.e.	
	Sarkidiornis melanotos	
FALCONIFORMES		
	FALCONIFORMES spp.* -108	
Cathartidae	Gymnogyps californianus	
	Vultur gryphus	
Accipitridae	Aquila adalberti =361	

	Aquila heliaca	
	Chondrohierax uncinatus wilsonii =362	
	Haliaeetus albicilla	
	Haliaeetus leucocephalus	
	Harpia harpyja	
	Pithecophaga jefferyi	
Falconidae	Falco araea	
	Falco jugger	
	Falco newtoni** +215	
	Falco pele-grinoides =363	
	Falco pere-grinus	
	Falco punctatus	
	Falco rusticolus	
GALLIFORMES		
Me-gapodiidae	Macrocephalon maleo	
Cracidae	Crax blumenbachii	
	Mitu mitu = 364	
	Oreophasis derbianus	
	Penelope albipennis	
	Pipile jacutinga = 365a	
	Pipile pipile =365b	
Phasianidae		
	Argusianus argus	
	Catreus wallichii	
	Colinus virginianus ridgwayi	
	Crossoptilon crossoptilon	
	Crossoptilon harmani =366	
Phasiandiae (cont.)	Crossoptilon mantchuricum	
		Gallus
sonneratii		
	Ithaginis cruentus	
	Lophophorus impejanus	
	Lophophorus lhuysii	
	Lophophorus sclateri	
	Lophura edwardsi	
	Lophura imperialis	
	Lophura swinhoii	
		Pavo
muticus		
	Polyplectron bicalcaratum	
	Polyplectron emphanum	
	Poluyplectron germaini	
	Polyplectron malacense	
	Polyplectron schieiermacheri =367	
	Rheinardia ocellata =368	
	Syrmaticus ellioti	
	Syrmaticus mikado	
	Tetraogallus caspius	
	Tetraogallus tibetanus	
	Tragopan blythii	
	Tragopan caboti	
	Tragopan melanocephalus	
	Tympanuchus cupido attwateri	
GRUIFORMES		
Gruidae		Gruidae
spp.*		

	Grus americana	
	Grus canadensis nesiototes	
	Grus canadensis pulla	
	Grus japonensis	
	Grus laucogeranus	
	Grus monacha	
	Grus nigricollis	
	Grus vipio	
Rallidae	Gallirallus sylvestris =369	
Rhynochetidae	Rhynochetos jubatus	Otididae
Otididae		
spp.*		
	Ardeotis nigriceps =370	
	Chlamydotis undulata	
	Eupodotis bengalensis =371	
CHARADRIIFORMES		
Scolapacidae	Numenius borealis	
	Numenius tenuirostris	
	Tringa guttifer	
Laridae	Larus relictus	
COLUMBIFORMES		
Columbidae	Caloenas nicobarica	
	Ducula mindorensis	
	Gallicolumba luzonica	Goura
spp.		
PSITTACIFORMES		
PSITTACIFORMES spp.* -109		
Psittacidae	Amazona arausiaca	
	Amazona barbadensis	
	Amazona brasiliensis	
	Amazona guildingii	
	Amazona imperialis	
	Amazona leucocephala	
	Amazona pretrei	
	Amazona rhodocorytha =372	
	Amazona tucumana	
	Amazona versicolor	
	Amazona vinacea	
	Amazona viridigenalis	
	Amazona vittata	
	Anodorhynchus spp.	
	Ara ambigua	
	Ara glaucogularis =373	
	Ara macao	
	Ara maracana	
	Ara militaris	
	Ara rubrogenys	
	Aratinga guarouba	
	Cacatua goffini	
	Cacatua haematuropygia	
	Cacatua moluccensis	
	Cyanopsitta spixxi	
	Cyanoramphus auriceps forbesi	
	Cyanoramphus cookii =374	
	Cyanoramphus novaezelandiae	
	Cyclopsitta diophthalma coxeni =375	
	Eos histrio	
	Geopsittacus occidentalis p.e. =376	
	Neophema chrysogaster	

Ognorhynchus icterotis
 Pezoporus wallicus
 Pionopsitta pileata
 Probosciger aterrimus
 Psephotus chrysopterygius
 Psephotus dissimilis =377
 Psephotus pulcherrimus p.e.
 Psittacula echo =378
 Pyrrhura cruentata
 Rhynchopsitta spp.
 Strigops habroptilus
 Vini ultramarina

CUCULIFORMES

Musophagidae

Musophaga porphyreolopha

=379
 Tauraco

spp.

STRIGIFORMES

STRIGIFORMES spp.*

Tytonidae

Tyto soumagnei

Strigidae

Athene blewitti

Mimizuku gurneyi =380

Ninox novaeseelandiae undulata =381

Ninox squamipila natalis

APODIFORMES

Trochilidae

Trochilidae spp.*

Ramphodon dohrnii =382

TROGONIFORMES

Trogonida

Pharomachrus mocinno

CORACIIFORMES

Bucerotidae

Aceros

spp.*

Aceros nipalensis

Aceros subruficollis

Anorrhinus spp. =383

Anthracoceros spp.

Buceros

spp.*

Buceros bicornis

Buceros vigil =384

Penelopides spp.

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Ramphastidae

Pteroglossus aracari

Pteroglossus viridis

Ramphastos sulfuratus

Ramphastos toco

Ramphastos tucanus

Ramphastos vitellinus

Picidae

Campephilus imperialis

Dryocopus javensis richardsi

PASSERIFORMES		
Cotingidae	Continga maculata	Rupicola
spp.		
	Xipholena atropurpurea	
Pittidae		Pitta
guajana		
	Pitta gurneyi	
	Pita kochi	
		Pita
nympha =385		
Atrichornithidae	Atrichornis clamosus	
Hirundinidae	Pseudochelidon sirintarae	
Pycnonotidae		
	Pycnonotus zeylanicus	
Muscicapidae		Cyornis
ruckii =386		
	Dasyornis broadbenti litoralis p.e.	
	Dasyornis longirostris =387	
	Leiiothrix argentaureis	
	Leiiothrix lutea	
	Liocichla omeiensis	
	Picathartes gymnocephalus	
	Picathartes oreas	
Zosteropidae	Zosterops albogularis	
Meliphagidae	Lichenostromus melanops	
	cassidix =388	
Emberizidae		
	Gubernatrix cristata	
		Paroaria
capitata		
		Paroaria
coronata		
		Tangara
fastuosa		
Icteridae	Agelaius flavus =389	
Fringillidae	Carduelis cucullata =390	
	Carduelis yarrellii =390	
Estrildidae		Amandava
formosa		
		Padda
oryzivora		
		Poephila
cincta cincta		
Sturnidae		Gracula
religiosa		
	Leucopsar rothschildi	
Paradisaeidae		
	Paradisaeidae spp.	
REPTILIA		
TESTUDINATA		
Dermatemydidae		
	Dermatemys mawii	
Emydidae	Batagur baska	
		Callagur
borneoensis		

insculpta Clemmys
 Clemmys muhlenbergi
 Geoclemys hamiltonii =391
 Kachuga tecta =392
 Melanochelys tricarinata = 393
 Morenia ocellata
 Terrapene spp.*
 Terrapene coahuila
 Testudinidae
 Testudinidae spp.*
 Geochelone nigra =394
 Geochelone radiata =395
 Geochelone yniphora =395
 Gopherus flavomarginatus
 Psammobates geometricus =395
 Testudo kleinmanni
 Cheloniidae Cheloniidae spp.
 Dermochelyidae Dermochelys coriacea Lissemys
 Trionychidae
 punctata
 Trionyx ater =396
 Trionyx gangeticus =396
 Trionyx hurum =396
 Trionyx nigricans =396
 Pelomedusidae
 Erymnochelys
 madagascariensis =397
 Peltocephalus
 dumeriliana =397
 Podocnemis spp.
 Chelidae Pseudemydura umbrina
 CROCODYLIA
 CROCODYLIA spp.* =398
 Alligatoridae Alligator sinensis
 Caiman crocodilus apaporiensis
 Caiman latirostris** -110
 Melanosuchus niger** -111
 Crocodylidae Crocodylus acutus
 Crocodylus cataphractus
 Crocodylus intermedius
 Crocodylus intermedius
 Crocodylus moreletii
 Crocodylus niloticus** -112
 Crocodylidae (cont.) Crocodylus novaeguineae
 mindorensis = 399
 Crocodylus palustris
 Crocodylus porosus** - 113
 Crocodylus rhombifer
 Crocodylus siamensis
 Osteolaemus tetraspis
 Tomistoma schlegelii
 Gavialidae Gavialis gangeticus
 RHYNCHOCEPHALIA
 Sphenodontidae Sphenodon spp.
 SAURIA

Gekkonidae		
	Cyrtodactylus serpensinsula	= 400
		Phelsuma
spp. = 401		
Agamidae		
	Uromastyx spp.	
Chamaeleonidae		
	Bradypodion spp. = 402	
	Chamaeleo spp. = 403	
Iguanidae		
	Amblyrhynchus cristatus	
	Brachlyophus spp.	
	Conolophus spp.	
	Cyclura spp.	Iguana
spp.		
	Phrynosoma coronatum	
	Sauromalus varius	
Lacertidae	Gallotia simonyi	Podarics
	lifordi	Podarcis
	pityusensis	Cordylus
Cordylidae		
spp.		
	Pseudocordylus spp.	
Teiidae		
	Cnemidophorus hyperythrus	
	Crocodylurus lacertinus	Dracaena
spp.		
	Tupinambis spp.	
Scincidae		Corucia
	zebrata	
Xenosauridae		
	Shinisaurus crocodylurus	
Helodermatidae		
	Heloderma spp.	
Varanidae		Varanus
spp.*		
	Varanus bengalensis	
	Varanus flavescens	
	Varanus griseus	
	Varanus komodoensis	
SERPENTES		
Boidae		Boidae
spp.* = 404		
	Acrantophis spp.	
	Boa constrictor occidentalis = 405	
	Bolyeria multocarinata	
	Casarea dussumieri	
	Epicrates inornatus	
	Epicrates monensis	
	Epicrates subflavus	

	Python molurus molurus = 406	
	Sanzinia madagascariensis = 407	
Colubridae		Clelia
clelia = 408		
	Cyclagras gigas = 409	
	Elachistodon westermanni	
		Ptyas
mucosus		
Elapidae		
	Hoplocephalus bungaroides	
		Naja
naja = 410		
	Ophiophagus hannah	
Viperidae	Vipera ursinii + 216	
		Vipera
wagneri		
AMPHIBIA		
CAUDATA		
Ambystomidae		
	Ambystoma dumerilii	
	Ambystoma mexicanum	
Gryptobranchidae	Andrias spp. = 411	
ANURA		
Bufonidae	Altiphrynoides spp. = 412	
	Arelopus varius zeteki	
	Bufo perigienes	
		Bufo
retiformis		
	Bufo superciliaris	
	Nectophrynoides spp.	
	Nimbaphrynoides spp. = 412	
	Spinophrynoides spp. = 412	
Myobatrachidae		
	Rheobatrachus spp.	
Dendrobatidae		
	Allobates spp. = 413	
	Dendrobates spp.	
	Epipedobates spp. = 413	
	Minyobates spp. = 413	
	Phobobates spp. = 413	
	Phyllobates spp.	
Ranidae		Mantella
aurantiaca		
	Euphlyctis hexadactylus	= 414
	Hoplobatrachus tigerinus	= 414
Microhylidae	Dyscophus aniongllii	
PISCES		
CERATODONTIFORMES		

MOLLUSCA
BIVALVIA
VENEROIDA
Tridacnidae
 Tridacnidae spp.
UNIONOIDA
Unionidae Conradilla caelata

 Cyprogenia aberti
 Dromus dromas =418
 Epioblasma curtisi =419
 Epioblasma florentina =419
 Epioblasma sampsoni =419
 Epioblasma sulcata perobliqua =419

 Epioblasma torulosa
 gubernaculum =419
 Epioblasma torulosa rangiana =419
 Epioblasma torulosa torulosa =419
 Epioblasma turgidula =419
 Epioblasma walkeri =419
 Fusconaia cuneolus
 Fusconaia edgariana
 Lampsilis higginsii
 Lampsilis orbiculata orbiculata
 Lampsilis satur
 Lampsilis virescens
 Plethobasus cicatricosus
 Plethobasus cooperianus

 Pleurobema clava
 Pleurobema plenum
 Potamilus capax =420
 Quadrula intermedia
 Quadrula sparsa
 Toxolasma cylindrella =421
 Unio nickliniana =422
 Unio tampicoensis tecomatensis =423
 Villosa trabalis =424

GASTROPODA
STYLOMMATOPHORA
Achatinellidae Achatinella spp.
Camaenidae
 Papustyla pulcherrima =425
MESOGASTROPODA
Strombidae Strombus gigas
CNIDARIA
ANTHOZOA
COENOTHECALIA
 COENOTHECALIA spp. =426 °607
STOLONIFERA
Tubiporidae
 Tubiporidae spp. °607
ANTIPATHARIA
 ANTIPATHARIA spp.
SCLERACTINIA
 SCLERACTINIA spp. °607
HYDROZOA
MILLEPORINA

Milleporidae
 Milleporidae spp. °607
 STYLASTERINA
 Stylasteridae
 Stylasteridae spp. °607

FLORA	I	
II		
AGAVACEAE	Agave arizonica Agave parviflora	Agave
victoriae-reginae #1	Nolina interrata	
AMARYLLIDACEAE		
Galanthus spp. #1		
Sternbergia spp. #1		
APOCYNACEAE		
Pachypodium spp.* #1	Pachypodium ambongense Pachypodium baronii Pachypodium decaryi	
Rauvolfia serpentina #2		
ARALIACEAE		Panax
quinquefolius #3		
ARAUCARIACEAE	Araucaria araucana** +217 Araucaria araucana* -114 #1	
ASCLEPIADACEAE		
Ceropegia spp. #1		Frerea
indica #1		
BERBERIDACEAE		
Podophyllum hexandrum		=427 #2
BROMELIACEAE		
Tillandsia harrissi #1		
Tillandsia kammii #1		
Tillandsia kautskyi #1		
Tillandsia mauryana #1		
Tillandsia sprengeliana #1		
Tillandsia sucrei #1		
Tillandsia xerographica #1		
BYBLIDACEAE		Bybliss
spp. #1		
CACTACEAE		
CACTACEAE spp.* °608 #4	Ariocarpus spp. =428 Astrophytum asterias =429 Aztekium ritteri Coryphantha werdermannii =430 Discocactus spp. Discocactus macdougallii =431	

	Echinocereus ferreirianus spp. lindsayi =432	
	Echinocereus schmollii =433	
	Escobaria minima =434	
	Escobaria sneedii =435	
	Mammillaria pectinifera =436	
	Mammillaria solisioides	
	Melocactus conoideus	
	Melocactus deinacanthus	
	Melocactus glaucescens	
	Melocactus paucispinus	
	Obregonia denegrii	
CACTACEAE (cont.)	Pachycereus militaris =437	
	Pediocactus bradyi =438	
	Pediocactus knowltonii =439	
	Pediocactus paradinei	
	Pediocactus peeblesianus =440	
	Pediocactus sileri =441	
	Pelecyphora spp. =442	
	Sclerocactus brevihamatus spp. tobuschii =443	
	Sclerocactus erectocentrus =444	
	Sclerocactus glaucus =445	
	Sclerocactus mariposensis =446	
	Sclerocactus mesae-verdae =447	
	Sclerocactus papyracanthus =448	
	Sclerocactus pubispinus =449	
	Sclerocactus wrightiae =450	
	Strombocactus spp.	
	Turbinicarpus spp. =451	
	Uebelmannia spp.	
CARYOCARACEAE		Caryocar
costaricense #1		
CEPHALOTACEAE		
Cephalotus follicularis #1		
COMPOSITAE		
(ASTERACEAE)	Saussurea costus =452	
CRASSULACEAE	Dudleya stolonifera	
	Dudleya traskiae	
CUPRESSACEAE	Fitzroya cupressoides	
	Pilgerodendron uviferum	
CYATHEACEAE		
CYATHEACEAE spp. #1		
CYCADACEAE		
CYCADACEAE spp.* #1	Cycas beddomei	
DIAPENSIACEAE		Shortia
galacifolia #1		
DICKSONIACEAE		
DICKSONIACEAE spp. #1		
DIDIEREACEAE		
DIDIEREACEAE spp. #1		
DIOSCOREACEAE		
Dioscorea deltoidea #1		
DROSERACEAE		Dionaea
muscipula #1		
ERICACEAE		Kalmia
cuneata #1		
EUPHORBIACEAE		
Euphorbia spp.* -115 °609 #1		
	Euphorbia ambovombensis	
	Euphorbia capsaintemariensis =453	
	Euphorbia cremersii =454	

Peristeria elata 0610
Phragmipedium spp. 0610
Renanthera imschootiana 0610
Vanda coerulea 0610

PALMAE

Chrysalidocarpus
(ARECACEAE)
decipiens #1

Neodypsis decaryi #1

PINACEAE Abies guatemalensis
PODOCARPACEAE Podocarpus parlatorei
PORTULACACEAE
Anacampseros spp. 464 #1

spp. =465 #1

cotyledon #1

maguirei #1

serrata #1

PRIMULACEAE
spp. 0611 #1

PROTEACEAE
Orothamnus zeyheri #1

odorata #1

RANUNCULACEAE
Hydrastis canadensis #3

ROSACEAE
africana #1

RUBIACEAE Balmea stormiae

SARRACENIACEAE
Darlingtonia californica #1

Sarracenia spp.* #1
Sarracenia alabamensis
ssp. alabamensis =466
Sarracenia jonesii =467
Sarracenia oreophila

SCROPHULARIACEAE
Picrorhiza kurrooa #3

STANGERIACEAE
spp. =468 #1
Stangeria eriopus =469

TAXACEAE
wallichiana =470 #8

THYMELEACEAE
(AQUILARIACEAE)
Aquilaria malaccensis #1

VALERIANACEAE
Nardostachys grandiflora #3

WELWITSCHIIACEAE
Welwitschia mirabilis =471

ZAMIACEAE
ZAMIACEAE spp.* #1
Ceratozamia spp.
Chigua spp.
Encephalartos spp.
Microcycas calocoma

Avonia
Lewisia
Lewisia
Lewisia
Cyclamen
Protea
Prunus
Bowenia
Taxus

ZINGIBERACEAE

Hedychium philippinense #1

ZYGOPHYLLACEAE

officinale #1

Guaiacum

sanctum #1

Guaiacum

APPENDIX III

valid from 29 April 1999

INTERPRETATION

1. References to taxa higher than species are for the purpose of information or classification only.

2. The symbol (+) followed by a number placed against the name of a species denotes that only designated geographically separate populations of that species are included in Appendix III as follows:

+218 Population of the species in Bolivia

+219 Population of the species in Brazil

+220 All populations of the species in the Americas

+ 221 Population of the species in Mexico.

3. The symbol (=) followed by a number placed against the name of a species denotes that the name of that species shall be interpreted as follows:

=472 Also referenced as *Vampyrops lineatus*

=473 Formerly included as *Tamandua tetradactyla* (in part)

=474 Includes synonym *Cabassous gymnurus*

=475 Includes generic synonym *Coendou*

=476 Includes generic synonym *Cuniculus*

=477 Includes synonym *Vulpes vulpes leucopus*

=478 Formerly included as *Nasua nasua*

=479 Includes synonym *Galictis alamandi*

=480 Formerly included in *Martes flavigula*

=481 Includes generic synonym *Viverra*

=482 Formerly included as *Viverra me-gaspila*

=483 Formerly included as *Herpestes auropunctatus*

=484 Formerly included as *Herpestes fuscus*

=485 Formerly included as *Bubalus bubalis* (domesticated form)

=486 Also referenced as *Boocercus eurycerus*; includes generic synonym *Taurotragus*

=487 Also referenced as *Ardeola ibis*

=488 Also referenced as *Egretta alba* and *Ardea alba*

=489 Also referenced as *Hagedashia hagedash*

=490 Also referenced as *Lampribus rara*

=491 Also referenced as *Spatula clypeata*

=492 Also referenced as *Nyroca nyroca*

=493 Includes synonym *Dendrocygna fulva*

=494 Also referenced as *Cairina hartlaubii*

=495 Also referenced as *Crax pauxi*

=496 Formerly included as *Arborophila brunneopectus* (in part)

=497 Also referenced as *Turturoena iriditorques*: formerly included as *Columba malherbii* (in part)

=498 Also referenced as *Nesoenas mayeri*

=499 Formerly included as *Treron australis* (in part)

=500 Also referenced as *Calopelia brehmeri*; includes synonym *Calopelia puella*

=501 Also referenced as *Tympanistria tympanistria*

=502 Also referenced as *Tchitreia bourbonnensis*

=503 Formerly included as *Serinus gulatis* (in part)

=504 Also referenced as *Estrilda subflava* or *Sporae-ginthus subflavus*

=505 Formerly included as *Lagonosticta larvata* (in part)
 =506 Includes generic synonym *Spermestes*
 =507 Also references as *Euodice cantans*; formerly included as *Lonchura malabarica* (in part)
 =508 Also referenced as *Hypargos nitidulus*
 =509 Formerly included as *Parmoptila woodhousei* (in part)
 =510 Includes synonyms *Pyrenestes frommi* and *Pyrenestes rothschildi*
 =511 Also referenced as *Estrilda ben-gala*
 =512 Also referenced as *Malimbus rubriceps* or *Anaplectes melanotis*
 =513 Also referenced as *Coliuspasser ardens*
 =514 Formerly included as *Euplectes orix* (in part)
 =515 Also referenced as *Coliuspasser macrourus*
 =516 Also referenced as *Ploceus superciliosus*
 =517 Includes synonym *Ploceus nigriceps*
 =518 Also referenced as *Sitagra luteola*
 =519 Also referenced as *Sitagra melanocephala*
 =520 Formerly included as *Plcceus velatus*
 =521 Also referenced as *Hypochera chalybeata*; includes synonyms *Vidua amauropteryx*, *Vidua centralis*, *Vidua neumanni*, *Vidua okavangoensis* and *Vidua ultramarina*
 =522 Formerly includes as *Vidua paradiseae* (in part)
 =523 Also referenced as *Pelusios subniger*
 =524 Formerly included in genus *Natrix*
 =525 Formerly included as *Talauma hodgsonii*; also referenced as *Magnolia hodgsonii* and *Magnolia candollii* var. *obovata*.

4. The names of the countries placed against the names of species are those of the Parties submitting these species for inclusion in this appendix.

5. Any animal, whether live or dead, of a species listed in this appendix, is covered by the provisions of the Convention, as is any readily recognizable part or derivative thereof.

6. In accordance with Article I, paragraph (b), sub-paragraph (iii), of the Convention, the symbol (#) followed by a number placed against the name of a plant species included in Appendix III designates parts or derivatives which are specified in relation thereto for the purposes of the Convention as follows:

#1 Designates all readily recognizable parts and derivatives, except:
 a) seeds, spores and pollen (including pollinia);
 b) seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers; and
 c) cut flowers of artificially propagated plants
 #5 Designates logs, sawn wood and veneer sheets.

CHORDATA
 MAMMALIA

CHIROPTERA

Phyllostomidae *Platyrrhinus lineatus* =472 Uruguay

XENARTHRA

Myrmecophagidae *Tamandua mexicana* =473
 Guatemala

Me-galonychidae *Choloepus hoffmanni* Costa
 Rica

Dasypodidae *Cabassous centralis* Costa
 Rica

Cabassous tatouay =474 Uruguay

RODENTIA

Sciuridae *Epixerus ebii* Ghana

		Marmota caudata	
	India/Inde	Marmota himalayana	
	India/Inde	Sciurus deppei	Costa
Rica			
Anomaluridae		Anomalurus beecrofti	Ghana
		Anomalurus derbianus	Ghana
		Anomalurus pelii	Ghana
		Idiurus macrotis	Ghana
Hystriidae		Hystrix cristata	Ghana
Erethizontidae		Sphiggurus mexicanus =475	Honduras
		Sphiggurus spinosus =475	Uruguay
Agoutidae		Agouti paca =476	Honduras
Dasyproctidae		Dasyprocta punctata	Honduras
CARNIVORA			
Canidae		Canis aureus	
	India/Inde	Vulpes bengalensis	
	India/Inde	Vulpes vulpes griffithi	
	India/Inde	Vulpes vulpes montana	
	India/Inde	Vulpes vulpes pusilla =477	
Procyonidae		Bassaricyon gabbii	Costa
Rica			
	Costa Rica	Bassariscus sumichrasti	
		Nasua narica =478	Honduras
		Nasua nasua solitaria	Uruguay
		Potos flavus	Honduras
Mustelidae		Eira barbara	Honduras
		Galictis vittata =479	Costa
Rica			
	India/Inde	Martes flavigula	
	India/Inde	Martes foina intermedia	
	India/Inde	Martes gwatkinsii =480	
	India/Inde	Mellivora capensis	
Botswana, Ghana		Mustela altaica	
	India/Inde	Mustela erminea ferghanae	
	India/Inde	Mustela kathiah	
	India/Inde	Mustela sibirica	
Viverridae		Arctictis binturong	
	India/Inde	Civettictis civetta =481	Botswana
		Paguma larvata	
	India/Inde	Paradoxurus	
	India/Inde	hermaphroditus	

	Paradoxurus jerdoni	
India/Inde	Viverra civettina = 482	
India/Inde	Viverra zibetha	
India/Inde	Viverricula indica	
India/Inde	Herpestes brachyurus	
Herpestidae	fuscus =484	
India/Inde	Herpestes edwardsii	
India/Inde	Herpestes javanicus	
	auropunctatus =483	
India/Inde	Herpestes smithii	
India/Inde	Herpestes urva	
India/Inde	Herpestes vitticollis	
India/Inde	Proteles cristatus	Botswana
Hyaenidae	Odobenus rosmarus	Canada
Odobenidae		
ARTIODACTYLA		
Tragulidae	Hyemoschus aquaticus	Ghana
Cervidae	Cervus elaphus barbarus	
Tunisia/Tunisie	Mazama americana cerasina	
Guatemala	Odocoileus virginianus	
	mayensis	
Guatemala	Antilope cervicapra	
Bovidae	Bubaius arnee =485	
Nepal/Népal	Damaliscus lunatus	Ghana
Nepal/Népal	Gazella cuvieri	
Tunisia/Tunisie	Gazella dorcas	
Tunisia/Tunisie	Gazella leptoceros	
Tunisia/Tunisie	Tetracerus quadricornis	
Nepal/Népal	Tragelaphus eurycerus =486	Ghana
	Tragelaphus spekii	Ghana
AVES		
CICONIIFORMES		
Ardeidae	Ardea goliath	Ghana
	Bubulcus ibis =487	Ghana
	Casmerodius albus =488	Ghana
	Egretta garzetta	Ghana
Ciconiidae	Ephippiorhynchus	
	sene-galensis	Ghana
	Leptoptilos crumeniferus	Ghana
Threskiornithidae	Bostrychia hagedash =489	Ghana
	Bostrychia rara =490	Ghana
	Threskiornis aethiopicus	Ghana
ANSERIFORMES		

Anatidae	Alopochen ae-gyptiacus	Ghana
	Anas acuta	Ghana
	Anas capensis	Ghana
	Anas clypeata =491	Ghana
	Anas crecca	Ghana
	Anas penelope	Ghana
	Anas querquedula	Ghana
	Aythya nyroca =492	Ghana
	Cairina moschata	Honduras
	Dendrocygna autumnalis	Honduras
	Dendrocygna bicolor =493	Ghana,
Honduras	Dendrocygna viduata	Ghana
	Nettapus auritus	Ghana
	Plectropterus gambensis	
Ghana	Pteronetta hartlaubii =494	Ghana
FALCONIFORMES		
Cathartidae	Sarcoraphus papa	Honduras
GALLIFORMES		
Cracidae	Crax alberti	
	Colombia/Colombie	
	Crax daubentoni	
	Colombia/Colombie	
	Crax globulosa	
	Colombia/Colombie	
	Crax rubra	
	Colombia/Colombie,	
		Costa
Rica,		
	Guatemala, Honduras	
	Ortalis vetula	
	Guatemala, Honduras	
	Pauxi pauxi =495	
	Colombia/Colombie	
	Penelope purpurascens	Honduras
	Penelopina nigra	
	Guatemala	
Phasianidae	Agelastes meleagrides	Ghana
	Agriocharis ocallata	
	Guatemala	
	Arborophila charltonii	
	Malaysia/Malasia/Malaisie	
	Arborophila orientalis =496	
	Malaysia/Malasia/Malaisie	
	Caloperdix oculea	
	Malaysia/Malasia/Malaisie	
	Lophura erythrophthalma	
	Malaysia/Malasia/Malaisie	
	Lophura ignita	
	Malaysia/Malasia/Malaisie	
	Melanoperdix nigra	
	Malaysia/Malasia/Malaisie	
	Polyplectron inopinatum	
	Malaysia/Malasia/Malaisie	
	Rhizothera longirostris	
	Malaysia/Malasia/Malaisie	
	Rollulus rouloul	
	Malaysia/Malasia/Malaisie	

	Tragopan satyra	
Nepal/Népal		
CHARADRIIFORMES		
Burhinidae	Burhinus bistriatus	
Guatemala		
COLUMBIFORMES		
Columbidae	Columba guinea	Ghana
	Columba iriditorques =497	Ghana
	Columba livia	Ghana
	Columba mayeri =498	
Mauritius/Mauricio/Maurice		
	Columba unicincta	Ghana
	Oena capensis	Ghana
	Streptopelia decipiens	Ghana
	Streptopelia roseogrisea	Ghana
	Streptopelia semitarquata	Ghana
	Streptopelia sene-galensis	Ghana
	Streptopelia turtur	Ghana
	Streptopelia vinacea	Ghana
	Treron calva =499	Ghana
	Treron waalia	Ghana
	Turtur abyssinicus	Ghana
	Turtur afer	Ghana
	Turtur brehmeri =500	Ghana
	Turtur tympanistria =501	Ghana
PSITTACIFORMES		
Psittacidae	Psittacula krameri	Ghana
CUCULIFORMES		
Musophagidae	Corythaeola cristata	Ghana
	Crinifer piscator	Ghana
	Musophaga violacea	Ghana
PICIFORMES		
Capitonidae	Semnornis ramphastinus	
Colombia/Colombie		
Ramphastidae	Baillonius bailloni	
Argentina/Argentine		
	Pteroglossus castanotis	
Argentina/Argentine		
	Ramphastos dicolorus	
Argentina/Argentine		
	Selenidera maculirostris	
Argentina/Argentine		
PASSERIFORMES		
Cotingidae	Cephalopterus ornatus	
Colombia/Colombie		
	Cephalopterus penduliger	
Colombia/Colombie		
Muscicapidae	Bebrornis rodericanus	
Mauritius/Mauricio/Maurice		
	Terpsiphone	
	bourbonnensis =502	
Mauritius/Mauricio/Maurice		
Fringillidae	Serinus canicapillus =503	Ghana
	Serinus leucopygius	Ghana
	Serinus mozambicus	Ghana
Estrildidae	Amadina fasciata	Ghana
	Amandava subflava =504	Ghana
	Estrilda astrild	Ghana
	Estrilda caerulescens	Ghana
	Estrilda melpoda	Ghana
	Estrilda troglodytes	Ghana

	<i>Lagonosticta rara</i>	Ghana
	<i>Lagonosticta rubricata</i>	Ghana
	<i>Lagonosticta rufopicta</i>	Ghana
Estrildidae (cont.)	<i>Lagonosticta senegala</i>	Ghana
	<i>Lagonosticta vinacea</i> = 505	Ghana
	<i>Lonchura bicolor</i> = 506	Ghana
	<i>Lonchura cantans</i> = 507	Ghana
	<i>Lonchura cucullata</i> = 506	Ghana
	<i>Lonchura fringilloides</i> = 506	Ghana
	<i>Mandingoa nitidula</i> = 508	Ghana
	<i>Nesocharis capistrata</i>	Ghana
	<i>Nigrita bicolor</i>	Ghana
	<i>Nigrita canicapilla</i>	Ghana
	<i>Nigrita fusconota</i>	Ghana
	<i>Nigrita luteifrons</i>	Ghana
	<i>Ortygospiza atricollis</i>	Ghana
	<i>Parmoptila rubrifrons</i> = 509	Ghana
	<i>Pholidornis rushiae</i>	Ghana
	<i>Pyrenestes ostrinus</i> = 510	Ghana
	<i>Pytilia hypogrammica</i>	Ghana
	<i>Pytilia phoenicoptera</i>	Ghana
	<i>Spermophaga haematina</i>	Ghana
	<i>Uraeginthus bengalus</i> = 511	Ghana
Ploceidae	<i>Amblyospiza albifrons</i>	Ghana
	<i>Anaplectes rubriceps</i> = 512	Ghana
	<i>Anomalospiza imberbis</i>	Ghana
	<i>Bubalornis albirostris</i>	Ghana
	<i>Euplectes afer</i>	Ghana
	<i>Euplectes ardens</i> = 513	Ghana
	<i>Euplectes franciscanus</i> = 514	Ghana
	<i>Euplectes hordeaceus</i>	Ghana
	<i>Euplectes macrourus</i> = 515	Ghana
	<i>Malimbus cassini</i>	Ghana
	<i>Malimbus malimbicus</i>	Ghana
	<i>Malimbus nitens</i>	Ghana
	<i>Malimbus rubricollis</i>	Ghana
	<i>Malimbus scutatus</i>	Ghana
	<i>Pachyphantes superciliosus</i> = 516	Ghana
	<i>Passer griseus</i>	Ghana
	<i>Petronia dentata</i>	Ghana
	<i>Plocepasser superciliosus</i>	Ghana
	<i>Ploceus albinucha</i>	Ghana
	<i>Ploceus aurantius</i>	Ghana
	<i>Ploceus cucullatus</i> = 517	Ghana
	<i>Ploceus heuglini</i>	Ghana
	<i>Ploceus luteolus</i> = 518	Ghana
	<i>Ploceus melanocephalus</i> = 519	Ghana
	<i>Ploceus nigerrimus</i>	Ghana
	<i>Ploceus nigricollis</i>	Ghana
	<i>Ploceus pelzelni</i>	Ghana
	<i>Ploceus preussi</i>	Ghana
	<i>Ploceus tricolor</i>	Ghana
	<i>Ploceus vitellinus</i> = 520	Ghana
	<i>Quelea erythroptera</i>	Ghana
	<i>Sporopipes frontalis</i>	Ghana
	<i>Vidua chalybeata</i> = 521	Ghana
	<i>Vidua interjecta</i>	Ghana
	<i>Vidua larvaticola</i>	Ghana

	Vidua macroura	Ghana
	Vidua orientalis = 522	Ghana
	Vidua raricola	Ghana
	Vidua togoensis	Ghana
	Vidua wilsoni	Ghana
REPTILIA		
TESTUDINATA		
Trionychidae	Trionyx triunguis	Ghana
Pelomedusidae	Pelomedusa subrula	Ghana
	Pelusios adansonii	Ghana
	Pelusios castaneus	Ghana
	Pelusios gabonensis = 523	Ghana
	Pelusios niger	Ghana
SERPENTES		
Colubridae	Atretium schistosum	
India/Inde		
	Cerberus rhynchops	
India/Inde		
	Xenochrophis piscator = 524	
India/Inde		
Elapidae	Micrurus diastema	Honduras
	Micrurus nigrocinctus	Honduras
Viperidae	Agkistrodon bilineatus	Honduras
	Bothrops asper	Honduras
	Bothrops nasutus	Honduras
	Bothrops nummifer	Honduras
	Bothrops ophryomegas	Honduras
	Bothrops schlegelii	Honduras
	Crotalus durissus	Honduras
	Vipera russellii	
India/Inde		
FLORA		
GNETACEAE	Gnetum montanum #1	
Nepal/Népal		
MAGNOLIACEAE	Magnolia liliifera var. obovata = 525 #1	
Nepal/Népal		
MELIACEAE	Swietenia macrophylla #5	Bolivia
/Bolivie + 218		
Brazil/Brasil/Brésil + 219		
Rica + 220		Costa
México, Mexique + 221		Mexico,
PAPAVERACEAE	Meconopsis regia #	
Nepal/Népal		
PODOCARPACEAE	Podocarpus neriifolius #1	
Nepal/Népal		
TETRACENTRACEAE	Tetracentron sinense #1	
Nepal/Népal		

Instructions and explanations

(These correspond to block numbers on the form)

1. Tick the square which corresponds to the type of document issued (export permit, re-export, certificate, import permit or other). If the box »other« has been ticked, the type of document must be indicated. The original number is a unique number allocated to each document by the Management Authority.

2. For export permits and re-export certificates, the date of expiry of the document may not be more than six months after the date of issuance (one year for import permits).

3. Complete name and address of the importer.

3a. The name of the country must be written in full.

4. Complete name and address of the exporter/re-exporter. The name of the country must be stated. The absence of the signature of the applicant renders the permit or certificate invalid.

5. Special conditions may refer to national legislation or special conditions placed on the shipment by the issuing Management Authority. This block can also be used to justify the omission of certain information.

5a. The following codes should be used: T for commercial, Z for zoos, G for botanical gardens, Q for circuses and travelling exhibitions, S for scientific purposes, H for hunting trophies, P for personal, M for biomedical research, E for education, N for reintroduction or introduction into the wild, and B for breeding in captivity or artificial propagation.

5b. Indicate the number (including the country's ISO code) of the security stamp affixed in block 13.

6. The name, address and country of the issuing Management Authority should already be printed on the form.

7-8. Indicate the scientific name (genus and species, where appropriate subspecies) of the animal or plant as it appears in the Convention appendices or the reference lists approved by the Conference of the Parties, and the common name of the animal or plant as known in the country issuing the permit.

9. Describe, as precisely as possible, the specimens entering trade (live animals, skins, flanks, wallets, shoes, etc.). If a specimen is marked (tags, identifying marks, rings, etc.), whether or not this is required by a Resolution of the Conference of the Parties (specimens originating in a ranching operation, specimens subject to quotas approved by the Conference of the Parties, specimens of Appendix-I species bred in captivity for commercial purposes, etc.), indicate the number and type of mark. The sex and age of the live animals should be recorded, if possible.

10. Enter the number of the appendix of the Convention (I, II or III) in which the species is listed.

Use the following codes to indicate the source:

W Specimens taken from the wild

R Specimens originating from a ranching operation

D Appendix-I animals bred in captivity for commercial purposes and Appendix-I plants artificially propagated for commercial purposes, as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 4, of the Convention

A Plants that are artificially propagated in accordance with Resolution Conf. 9.18 (Rev.), paragraph a), as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 5, of the Convention (specimens of species included in Appendix I that have been propagated artificially for non-commercial purposes and specimens of species included in Appendices II and III)

C Animals bred in captivity in accordance with Resolution Conf. 10.16, as well as parts and derivatives thereof, exported under the provisions of Article VII, paragraph 5, of the Convention (specimens of species included in Appendix I that have been bred in captivity for noncommercial purposes and specimens of species included in Appendices II and III)

F Animals born in captivity (F1 or subsequent generations) that do not fulfil the definition of "bred in captivity" in Resolution Conf. 10.16, as well as parts-and-derivatives thereof

U Source unknown (must be justified)

I Confiscated or seized specimens.

11. Indicate the total number of specimens or, if this is not possible, the quantity, and specify the unit of measurement used (for example the weight in kilograms). Do not use general terms such as »one case« or »one batch«.

11a. Indicate the total number of specimens exported in the current calendar year (including those covered by the present permit) and the current annual quota for the species concerned (for example 500/1000). This should be done for the national quotas as well as for those determined by the Conference of the Parties.

12. The country of origin is the country in which the specimens were taken from the wild, bred in captivity or artificially propagated. Indicate the number of the export permit of the country and the date of issuance. If all or part of the information is not known, this should be justified in block 5. This block must only be completed in case of re-exports.

12a. The country of last re-export is the country from which the specimens were re-exported before entering the country in which the present document is issued. Enter the number of the re-export certificate of the country of last re-export and its date of issuance. If all or part of the information is not known, this should be justified in block 5. This block must only be completed in case of re-export of specimens previously re-exported.

13. To be completed by the official who issues the permit. The name of the official (and his title) must be written in full. The security stamp must be affixed in this block and must be cancelled by the signature of the issuing official and a stamp or seal. The seal, signature and security-stamp number should be clearly legible.

14. To be completed by the official who inspects the shipment at the time of export or re-export. Enter the quantities of specimens actually exported or re-exported. Strike out the unused blocks.

15. Enter the number of the bill of lading or air way-bill if the method of transport used requires the use of such a document.

The document must be written in one of the three working languages of the Convention (English, French and Spanish) or must include a full translation into one of these three languages. Exported and re-exported specimens should not appear on the same document unless it is clearly indicated which specimens are being exported and which re-exported.

**AFTER USE THIS DOCUMENT MUST BE RETURNED TO A MANAGEMENT
AUTHORITY OF THE IMPORTING COUNTRY.**